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## 前言 Preface

The unprecedented pursuit of 'One Country Two Systems' (henceforth 1C2S) demands unyielding exploration and persistence from stakeholders. Since 1997, we have made headway in the realization of 1C2S, but at the same time, have also encountered many challenges. To assist full implementation of 1C2S, in July 2017, Path of Democracy established the 1C2S Index and released its first report in relation thereto with a view to conduct an objective evaluation of the continued implementation of 1C2S by reviewing the accomplishments and shortfalls of 1C2S at the 20th Anniversary of Hong Kong SAR.

「一國兩制」是前無古人的嘗試,1997年至今既取得成果,也遇到挑戰,實踐過程需要社會各界不斷探索。民主思路在香港回歸20年之際發布第一份關於「一國兩制」概況的客觀評價,希望鑑察其成就與缺失,為全面準確貫徹此方針謹盡綿力。

The 1C2S Index is based on both local opinion and international perception on the current state of 1C2S. In order to measure local sentiment, we conduct periodic public surveys in Hong Kong on aspects such as 'Hong Kong people administering Hong Kong', judiciary independence, the high degree of autonomy and other important issues. We also looked at global studies carried out by international think tanks and how Hong Kong is being rated on aspects like human rights, rule of law, freedom of speech and freedom of association. These independent evaluations are also incorporated into the Index to give a more balanced view on how the World including Hong Kong people look at the state of 1C2S.

「一國兩制」指數編製自關於「一國兩制」 現狀的本地民意及國際評價。我們定期進行 民意調查,就港人治港、高度自治、司法獨 立及其他重要範疇了解香港市民想法。我們 亦參考國際機構對香港在人權、法治、言論 自由和結社自由等範疇的評分,把以上獨立 意見揉合於指數之中,為香港及世界各地對 「一國兩制」現狀的觀感作出中肯評估。

We also devised and introduced the 1C2S Mass Media Index (MMI) by making use of big data analytics to measure the media sentiment of Hong Kong towards 1C2S since 1988 with real-time monitoring and predictive alerts. In compiling our reports, we also sought views on current issues related to 1C2S, such as the public's emigration plans, national security and economic integration.

除此之外,我們借助大數據技術,推出「一國兩制」與情指數去衡量新聞情緒,追溯自 1998年迄今香港媒體的有關報導,實現即時 觀測與預警。 編製報告時,我們亦探討「一 國兩制」實踐中的熱門議題,例如移民海 外、國家安全、經濟融合等。

We extend our sincere gratitude to the research team involved in the production of our eighth edition of the 1C2S Index report: Gillian Tam, Ting Hin Yan, Ian Chan and Jason Lee. We are also grateful to Hong Kong Institute of Asia-Pacific Studies of the Chinese University of Hong Kong for completing the telephone polls on our behalf.

報告更新至第八版,我們衷心感謝研究團 隊:譚廸文、甄定軒、陳譽仁及李梓雋,亦 謹此向執行電話調查的香港中文大學香港亞 太研究所致以謝意。

Going forward, to better inform policy makers and the public, we will continue to conduct public surveys and update international indices every six months, and to monitor media sentiment regularly to gauge the latest perceptions of the public, the international community and the media on 1C2S.

展望未來,我們會繼續每半年進行民意調查 及更新國際指數,亦會定期追蹤輿情指數, 從而反映香港市民、國際社會及本地媒體對 「一國兩制」的最新觀感,供決策者及公眾 參考。

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## 摘要 Key Findings



The first half of 2021 witnessed some tremendous changes regarding the reinforcement of the 'one country' element within 1C2S. Swift actions in upholding national security manifested in not only the arrest of those violating the one-year-old National Security Law (NSL), but amendments made to legislation, regulations and guidelines covering areas such as civil service and cultural productions. Individuals and companies have been arrested or has had their assets frozen, with more of them fleeing as self-proclaimed 'exiles' or ceasing their operations to 'prevent risks' of violating the law. A few unions and political organizations also disbanded in the afterquake of the closure of Apply Daily.

「一國兩制」的「一國」元素在2021年上半年進一步落實,維護國家安全的行動迅速,《國安法》生效一年來拘捕多名疑犯,各類法例、規定及指引亦有修改,涵蓋公務員及文化產業等領域。有個別人士及公司被逮捕或被凍結資產,也有部分聲稱流亡離港或停止運營以避免違法風險。《蘋果日報》倒閉後,不少工會及政治組織因而解散。

National People's Congress outlined a significant electoral reform that consolidated the principle of 'patriots administering Hong Kong' with an aim to prevent anti-China forces and radical separatists from gaining admission to the city's governance structure. The Election Committee and the Legislative Council were reconfigured and a new vetting committee was installed. A mandatory oath of allegiance for public servants also triggered mass resignation of district councillors as some feared that those who fail to meet the allegiance requirements might be required to repay their salaries received since they took office.

為體現「愛國者治港」原則,全國人大改革香港 選舉制度,重組選舉委員會和立法會,亦新設資 格審查委員會,旨在防止反中亂港分子及激進分 離勢力進入香港管治架構。公職人員被要求宣誓 效忠,不少區議員擔心如果不符合效忠要求會被 追討薪金,繼而大規模辭職。

While some applauded the stability and peacefulness the above new initiatives brought to the city, others felt that freedom of speech and opposition views were unrightfully stifled. The latter view found support and sympathy from western countries with the US imposing sanctions on officials involved in the execution of the NSL, the UK government declaring settlement assistance programme for BN(O) families, to G7 foreign ministers' expressing joint concerns over the "elimination" of dissenting voices.

有市民為上述行動所帶來的社會穩定鼓掌,也有市民認為言論自由及反對聲音被限制。後者尤其得到西方國家支持與同情,美國對協助執行《國安法》的官員實施制裁,英國為移居當地的BNO家庭提供援助,G7 外長也聯合對異見被「消除」表示關注。

The pace and depth at which the NSL and electoral reform has been implemented might shock some people as a feared exodus appeared to be forthcoming. In our eighth edition of the 1C2S Index report, we hope to shed some light on the subject with substantial quantitative data from our latest round of survey conducted in June and July 2021, scores given by international think tanks and sentiments expressed by local media.

部分市民對《國安法》與選舉制度改革的實施速度和深度感到突然,移民潮的說法甚囂塵上。第八版「一國兩制」指數報告整合2021年6月至2021年7月民意調查的結果、國際智庫的評分及本地媒體的輿情,希望藉著客觀數據探討香港與「一國兩制」何去何從。

#### Confidence in 1C2S finding support

While local resistance to the enactment of NSL and the reform of electoral system may not have waned, the end of chaos and violence has signified the restoration of political stability in the eyes of many. These mixed perceptions appeared to have given pause to the recent downward trend of 1C2S Index, resulting an unchanged index score, where the latest reading stays at 5.60.

Five of the twelve dimensions in Index (A) experienced decline over the last half year, with only one of these changes, namely, 'freedom of speech' reaching statistical significance. The drop in 'freedom of speech' is anticipated given the duration of the survey coincided with the arrests of several Next Digital staff and the abrupt closure of Apple Daily. Strongest rises come from dimensions related to Hong Kong-Mainland relations, namely 'resolving differences via dialogue and negotiation' and 'full implementation of 1C2S in the future', suggesting assertive actions taken by the SAR government instilled optimism to fostering mutual trust with the central government.

From our past reports, it has been a consistent pattern that the Hong Kong public harboured much higher expectations and much more critical view on the integrity of 1C2S while changes in Index (B), namely, International Perception, tend to be more diverse and less drastic. Nonetheless, due to time lag in the compilation of international indices, further decline at the international level is anticipated given the current international political situation.

### Support for the continuation of 1C2S

Despite series of political controversies in the first half of 2021 prompted significant drop in the support for the continuation of 2047, the rate remained high at 67.7%. With 18.4% opted for oppose, the net support was 49.3%.

For most people, 'maintaining a high degree of autonomy' (64.1%) remained the most favourable condition to the continuation of 1C2S. 'Maintaining economic prosperity and stability' bounced back to the second place, after being overtaken by 'political system democratises further' (recorded at 57.9% and 48.8% respectively) for two years. 'Maintaining national security' rose by 5.4 percentage points to a historic high of 20.5%.

## 「一國兩制」步入支持位

雖然針對實施《國安法》及修改選舉制度的抗拒 情緒仍然存在,但是在不少人眼中,街頭暴力與 社會亂象的終結,標誌著政治局勢恢復穩定。兩 種截然不同的觀感並存,令經歷過一段跌勢的 「一國兩制」指數停止下降,維持5.60分。

最近半年,十二個範疇中有五個經歷跌幅,只有「言論自由」達統計上顯著水平。本輪調查期間經歷壹傳媒高層被捕、蘋果日報倒閉等事件,因此「言論自由」的跌幅實屬意料之內。陸港關係方面的範疇錄得較強升幅,包括「對話協商解決矛盾」及「未來全面落實『一國兩制』」,反映特區政府近期的強勢姿態,有助增強與中央政府的互信。

在過去的報告中,香港市民一直比國際社會對「一國兩制」有更高期望,評分亦因而較嚴格。 所以,指數(B):國際評價的變化相對錯緻和平 穩。國際智庫在整合全球評分時,數據一般滯 後,鑑於香港近期的社會狀況,國際社會的評價 很可能進一步下降。

### 支持繼續實行「一國兩制」

2021年上半年發生連串政治爭議,令市民對2047 年後繼續實行「一國兩制」的支持度下跌,但是 數值仍然高達67.7%。反對度為18.4%,淨值為 49.3%。

對大部分市民而言,「維持高度自治」(64.1%) 仍然是有利於繼續實行「一國兩制」的首要選項。「經濟維持繁榮穩定」被「政制進一步民主 化」連續兩年超越後,於最新一輪回升至第二位,前者錄得57.9%,後者錄得48.8%。「維護國家安全」上升5.4個百分點,達到有記錄以來的高位20.5%。

## **Easing political polarization**

Political polarization continues to ease as the city returned to peace. The gap between moderates and non-establishment supporters continues to widen, as the two move away from each other with a rise of 2.4 percentage points for the former and a drop of 4.1 percentage points for the latter. As a result, moderates remain the largest group (56.0%), followed by non-establishment supporters (31.6%) and pro-establishment supporters (12.3%).

The rise of moderation was also seen among youths (aged 18 to 29), again corresponding to the subsiding political tension. While non-establishment supporters remained the largest group, accounting for over half of the youth population (53.1%), the democrat-localist camp experienced deradicalization. Supporters of the localist camp continued to drop from above a guarter (28.0%) to just one-fifth (19.9%) of the youth population while democrats rose to one-third (33.2%). Encouragingly, the difference between youth identified as democrats and other non-establishment is once again widening after they intersected in the last round. The proportion of non-establishment supporters were closely followed by moderates who experienced a growth of 4.5 percentage points rising to 45.2%. The rise of moderation among 18-29 age group has been a healthy development, nevertheless, one should remain vigilant to the possibility of re-radicalization in the near future.

# Narrowing divergence of citizens' identity as 'Hongkongers' or 'Chinese'

Citizens' self-identification as 'Hongkongers' or 'Chinese' started to diverge after a period of parallel increase. Although the divide appeared to be narrowing down in the latest round, the gap was still wide. Since January 2021, the public's self-identification as 'Hongkongers' dropped slightly from 8.26 to 8.23 while that as 'Chinese' rose from 4.73 to 4.87.

Most Hong Kong citizens are typically cognizant of their dual identity as both 'Hongkongers' and 'Chinese'. This has gradually changed since 2019. While over half of the public acknowledged dual identity in earlier surveys, this hit rock bottom in June 2020 to only 32.5% but has since rebounded. Though still less than half, the latest reading of 37.9% for 'dual identity' is nevertheless narrowing its gap with 'Hongkongers only', which maintains its dominant identity at 47.6%, despite a drop of 5.5 percentage points since January 2021.

#### 政治兩極化緩和

隨著社會恢復平靜,政治兩極化情況有所緩解。 溫和派及非建制派支持者的份額差距進一步擴 大,最新一輪中,前者錄得2.4個百分點升幅,後 者經歷4.1個百分點跌幅。溫和派繼續成為最大組 別(56.0%),其後為非建制派(31.6%)及建制 派(12.3%)。

18-29歲年輕人中,溫和派同樣冒起,呼應冷卻中的政治氣氛。雖然非建制派仍是最大的群體,佔超過一半(53.1%),但陣營內亦經歷去激進化,支持者由本土派轉移至民主派。 18-29歲組別中,本土派由超過四分之一(28.0%)下降至只有五分之一(19.9%),而民主派則升至三分之一(33.2%)。民主派自上輪超越本土派後,兩者的差距進一步拉闊。溫和派上升4.5個百分點,達45.2%,緊隨非建制派。溫和政治再現,無疑是健康的發展,但我們仍需保持警惕,以防激進主義再次主導。

## 香港人與中國人身分認同背馳

過去一段時間,市民對「香港人」和「中國人」 兩種身分認同同步增強,近期調查則顯示兩者開始分歧。分歧在最新一輪有所收窄,但仍然存在。自2021年1月,大眾對「香港人」的認同感由 8.26分回落至8.23分。同期,對「中國人」的認同 感則由4.73分升至4.87分。

香港市民普遍擁有「香港人」和「中國人」雙重身分認同,情況自2019年起逐步轉變。過往調查中,擁有雙重身分認同的市民由超過一半,於2020年6月觸底錄得32.5%,但其後反彈。自2021年1月起,屬於主流的「只有香港人」跌5.5個百分點達47.6%,「雙重認同」最新為37.9%,與前者距離拉近。

## Implications and recommendations

The decline in some indicators in our latest report suggested that the crises of confidence in the future of 1C2S and Hong Kong has continued yet attenuated. However, we still found that the majority of Hong Kong public supported the continuation of 1C2S beyond 2047. It is difficult to reconcile the fact that despite what appeared to be a marked decline in confidence of the 1C2S, people of Hong Kong still overwhelmingly indicated they are in favour of the continuation of the same beyond 2047. A possible explanation is that while the immediate perception is bad, the longer view of 1C2S still holds good.

People with emigration plans remained at its high watermark, but public discontent appeared to be tapering down. The proportion of moderates showed sign of growth while non-establishment supporters steadily declined. More people attribute equal responsibility to both Hong Kong and Mainland when conflicts arise in the implementation of 1C2S as the proportion of population identifying themselves as 'Chinese' embarked on a modest rate of increase.

The two rival camps showed extreme views over the impact of National Security Law and the electoral reform. Moderates was the only group countering this juxtaposition. Rationality exists in our mainstream society, but it is vulnerable to being attacked. Policymakers must take care to ensure that moderate voices continue to enjoy a nourishing and safe environment in a divided society.

## 啟示及建議

本報告中多項指標下滑,反映市民對香港及「一國兩制」未來的信心危機繼續在低位徘徊,但正逐步收復。儘管對「一國兩制」信心驟降,我們同時發現香港市民繼續支持於2047年後延續「一國兩制」。兩種取態看似不容易調和,可能說明市民對「一國兩制」的即時印象轉差,但是長遠評價仍然不俗。

移民意願高企,但公眾的不滿情緒似乎稍微減弱。溫和派的佔比有一定升幅,非建制派支持者則持續下降。面對「一國兩制」的實踐矛盾時, 更多人認為內地和香港有共同責任。「中國人」 身分認同亦有輕微增長。

雖然兩大政治陣營對《國安法》及修改選舉制度 的反應極端,溫和派對以上事件則少受政治立場 綑綁,代表社會主流仍然理性,但極容易受到攻 擊。決策者應確保理性聲音在撕裂的社會上有一 隅之地。



It is important for the HKSAR Government to consider spearheading a concerted effort involving all sectors of Hong Kong including the business community, the academia, professional bodies, and like-minded think tanks and NGOs to promote the image of Hong Kong under 1C2S. Such effort may include:

政府應領導香港各界,如商界、學術界、專業團體、目標相近的智庫和民間組織,共同努力闡釋「一國兩制」的實況,提升香港形象。措施包括:

- Setting up the Office of 1C2S for sustained outreach effort both locally and in the APEC region to mobilize support and enhance Hong Kong's presence. This dedicated office will actively participate in foreign think tank forums directly or through the business community to promote the Hong Kong narrative in the international arena;
- 設立「一國兩制」辦公室,專門進行本地及 亞太區的推廣及聯絡工作,動員社會各界支 持及強化香港的影響力。此專責辦公室將會 直接或通過商界積極參與外國智庫論壇,就 香港事務在國際舞台發聲;
- Creating a Press Secretary for the CE Office to hold daily press briefings with local and international media to tackle latest policy issues timely, fully and properly, including the National Security Law to address the fear and anxiety of the foreign community in Hong Kong; and
- 增設特首辦新聞發言人,每天主持記者會面 向本地及國際傳媒,適時、充分及恰當地解 釋及回應政策議題,例如外國社群對《國安 法》的疑問;及
- Educating young members of the public on 1C2S basics, understanding of rule of law, human rights and freedoms and broadening awareness of social, national and global issues.
- 注重青年教育,擴闊對「一國兩制」的基本 認識,豐富對法治、人權和自由的理解,增 強社會觸覺、國際視野及國情認識。





## 「一國兩制」概覽 1C2S at a Glance



1C2S Index 「一國兩制」指數	1C2S Mass Media Index 「一國兩制」輿情指數	Moderates 溫和派	Continuation of 1C2S 延續「一國兩制」	Dual Identity 雙重身分認同
5.60	88.4	56.0%	67.7%	37.9%
-	( 10.4)	( 1 2.4%)	(↓ 6.4%)	( ↑ 2.6%)
2021.7	2021.6	2021.7	2021.7	2021.7
1C2S Index 「一國兩制」指數 5 6 7 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9				100 00 00 00 00 00 00 00 00 00 00 00 00

2019.6

「一國兩制」指數元素 Components of 1C2S Index

2017.6

High Degree of autonomy 高度自治			
3.39			
(↓ 1.2%)			

2018.6

Human Rights and Freedom 人權自由
3.71

2020.6

Hong Kong- Mainland Relations
陸港關係
3.77

2021.7

(1	3.1	%)	

(	1	4.49	6)

International
Perception
國際評價

Economic Freedom 經濟自由
8.83
(-)

Personal Freedon 個人自由
8.35
(-)

Democracy Index 民主指數	
5.57	
(-)	



## 簡介 Introduction

This is the eighth edition of our 'One Country Two Systems' (henceforth 1C2S) Index report. The purpose of the 1C2S Index is to provide an objective assessment on the implementation of 1C2S. Our first Report was released in mid-2017, on the 20th anniversary of the return of Hong Kong to China. We update the 1C2S Index every six months, at mid-year and year end.

香港回歸20週年之際,民主思路於2017年中首次 發布「一國兩制」指數,旨在為香港實踐「一國 兩制」作客觀評價。指數於年中與年底,每半年 更新,這是第八版報告。

Every half year, we update the 1C2S Index through updating Index (A): Public Opinion and Index (B): International Perception respectively with a new public survey and with the latest data from international indices. To complement our Index, we also introduced a new index: 1C2S Mass Media Index (MMI) that uses big-data techniques to measure the sentiment of Hong Kong newspapers since 1998.

我們每半年進行電話調查及收集國際指數的最新數據,更新指數(A):民意調查及指數(B): 國際評價,從而更新「一國兩制」指數。我們還 推出嶄新的「一國兩制」與情指數,檢視從1998 年起香港報章對「一國兩制」的情緒,利用大數 據技術去補充指數之不足。

We commissioned the Hong Kong Institute of Asia-Pacific Studies of the Chinese University of Hong Kong to conduct telephone poll between 21 June to 9 July 2021 to compile Index (A). To systemically reflect public's concern for 1C2S development, three dimensions have been added in this round of survey to produce a 12-dimension index. On the other hand, not every indicator of Index (B) can be updated to 2021 because international indices are released with a considerable time lag. MMI was updated to the end of June 2021.

本報告委託香港中文大學香港亞太研究所進行電話調查,反映2021年6月21至2021年7月9日的狀況而編製指數(A)。為更系統性地展示公眾對「一國兩制」發展的關注,我們於本輪調查起增加三個範疇,編製成十二範疇的指數。另外,由於各項國際指數整合數據存有時差,指數(B)不是所有指標均能更新至2021年,而「一國兩制」與情指數則更新至2021年6月底。



In our latest survey, we continue to include topical issues related to 1C2S, such as the continuation of 1C2S beyond 2047, citizen's identity, attitude towards emigration and public opinions on the Greater Bay Area. To fully capture the socio-political reality of the city, we introduced new questions to gauge the impact of recent socio-political changes, like the structural changes in the Election Committee and Legislative Council. We also continue to track the public's perception of the enactment and enforcement of NSL, which celebrated its first anniversary just before the survey period.

It should be stressed that Index (A), Index (B) and MMI are determined by perception and may or may not reflect the reality. Even so, changes in perceptions of 1C2S of the Hong Kong public, of international think tanks, and of the Hong Kong press are nevertheless important. We will analyse the reasons for the changes as they are crucial for the implementation of 1C2S.

In our report, all scores are expressed in a scale of 0-10. An asterisk ('\*') indicates a change in scores compared with the previous round that is big enough to be statistically significant. If there is no mentioning of statistical significance about a score, it means that the change concerned is statistically insignificant.

電話調查也一併探討其他關乎「一國兩制」的重要議題,包括2047年後繼續實行「一國兩制」、市民的身分認同、移民海外與及粵港澳大灣區發展。為更準確拿捏近期的社會及政治變化所帶來的影響,本輪新增圍繞選舉委員會及立法會選舉制度改革的題目。《國安法》已經頒布一周年,本輪調查的熱門議題仍繼續追踪市民對此法落實及實施的觀感。

指數(A)、指數(B)及與情指數的評分取決於 觀感,可能不完全反映現實。儘管如此,香港市 民、國際智庫和本地媒體的觀感變化對實施「一 國兩制」仍然至關重大,所以我們也會分析其背 後的變化原因。

本報告的所有評分尺度為0至10分。如不同輪次調查之間的評分差異達統計上顯著水平,將會以星號(「\*」)註明。未有註明者,則代表評分差異在統計上並不顯著。



## 2「一國兩制」指數 1C2S Index

The 1C2S Index is the average of Index (A): Public Opinion, the evaluation of the Hong Kong public on different dimensions of 1C2S, and Index (B): International Perception, the evaluation of international think tanks on various aspects of freedom and democracy in Hong Kong. The methodology is explained in Appendix I.

Figure 1 shows the scores of the 1C2S Index since its inception. The latest reading in July 2021 is 5.60. As new dimensions have been added to Index (A), the 1C2S index score is no longer directly comparable to those preceding it. Results obtained in indices (A) and (B) will be detailed in the following sub-sections.

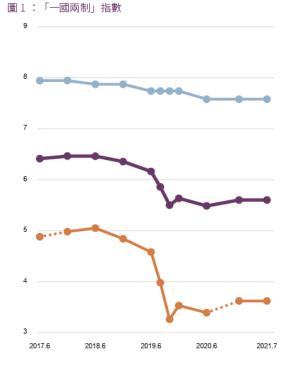
Due to increasing scepticism towards 1C2S and time lag in data availability, the 2021 estimated score of Index (B) is likely to be upwardly biased. In this respect, decision makers in Hong Kong should take careful note of the declining trend and its ramifications.

「一國兩制」指數為指數(A):民意調查及指數(B):國際評價的平均值。前者為香港市民對「一國兩制」不同範疇的評分,後者為國際智庫對香港自由民主水平的評分。編製方法詳見附件」。

圖 1顯示「一國兩制」指數自編製迄今的變化。 2021年7月的最新讀數為5.60分。由於本輪指數 (A)加入了新範疇,「一國兩制」指數再無法與 更改發生前產生的數值作直接比較。指數(A)及 指數(B)的變化將於以下章節詳細解釋。

鑑於國際社會對「一國兩制」的疑慮未見好轉, 以及整合全球評分的數據滯後,2021年指數(B) 的估算分數可能偏高。此跌勢及其影響值得決策 者關注。

Figure 1: 1C2S Index



Scores Changes 評分 變化 ■ 1C2S Index 5.60 0.00 (-)「一國兩制」指數 Index (A): Public Opinion 3.62 0.00 (-) 指數(A):民意調查 Index (B): International Perception 7.58 0.00 (-)指數(B):國際評價

Note: Dotted line indicates a revision in indicators

註:虛線為指標經過修訂

#### 2.1. Index (A): Public Opinion

As earlier mentioned, Index (A) has been revamped from a 9-dimension index to that of 12. The three newly added dimensions are, 'Equality before the Law', 'Safeguarding national sovereignty, security & development interests' and 'Maintaining prosperity and stability'. Nevertheless, for more structured comparison, we also reframed the 12 dimensions into three subindices, namely 'High Degree of Autonomy', 'Human Rights and Freedom' 'and 'Hong Kong-Mainland relations'. The three new questions have been asked in the last survey in January 2021 as preliminary assessments, meaning the new index score is directly comparable with that produced in January 2021, whereas comparison with those produced before January 2021 would require careful interpretation. Readers should take note of this.

Table 1 shows that five dimensions experienced decline since January 2021, with only one of these changes reaching statistical significance, namely, 'freedom of speech' (which dropped 7.9% to 3.61).

Overall, only one dimension scores higher than the median of 5, namely, 'Safeguarding national sovereignty, security & development interests'. Others relatively well-perceived dimensions scoring over 4 include 'original ways of life' (4.33) and 'maintaining prosperity and security' (4.22). As with previous rounds, other dimensions scoring the lowest continue to be: 'Hong Kong people administering Hong Kong' (2.80), 'full implementation of 1C2S in the future' (2.85) and 'resolving differences via dialogue and negotiation' (2.81).

#### 2.1. 指數(A):民意調查

如前所述,指數(A)涵括的範疇已從九個增至十二個。三個新增範疇分別為「法律面前一律平等」、「維護國家主權、安全和發展利益」及「維持繁榮穩定」。再者,十二個範疇亦被歸類到三個子指數,分別為「高度自治」、「人權自由」及「陸港關係」,以作出更具結構的比較。三個新增範疇已於上輪調查中試驗,所以本輪指數能與2021年1月的指數作直接比較,而與2021年1月前的評分比較時則須小心解讀。

表1顯示指數(A)自2021年1月起五個範疇經歷 跌幅,但當中只有一個範疇的變化達統計上顯著 水平,即「言論自由」,下降7.9%至3.61分。

整體來說,只有一個範疇高於5分中位數得分,即「維護國家主權、安全和發展利益」。超過4分的 範疇包括「原有生活方式」(4.33)及「維持繁榮 穩定」(4.22)。與上輪相約,評分最低的範疇繼 續包括「港人治港」(2.80)、「未來全面落實 『一國兩制』」(2.85)及「對話協商解決矛盾」 (2.81)。

Table 1: Index (A): Public Opinion 表 1: 指數(A):民意調查

	2019.10	2019.12	2020.6	2021.1	2021.7	
Average 平均分	3.26	3.53	3.39	3.62	3.62	<b>/</b>
A. High Degree of Autonomy 高度自治	-	-	-	3.43	3.39	\
A1. Self -conduct of administrative affairs 自行處理行政事務	3.31	3.49	3.40	3.34	3.42	$\sim$
A2. Independent judiciary 獨立司法權	3.93	4.27	4.16	4.00	3.80	
A3. Independent legislature 獨立立法權	3.34	3.75	3.71	3.62	3.55	
A4. 'Hong Kong people administering Hong Kong '「港人治港」	2.78	2.99	2.82	2.77	2.80	$\wedge$
B. Human Rights and Freedom 人權自由	-	-	-	3.83	3.71	\
B1. Original ways of life 原有生活方式	4.28	4.55	4.39	4.41	4.33	<u> </u>
B2. Freedom of speech 言論自由	4.04	4.36	4.04	3.92	3.61	^ *
B3. Democratic development 民主政制發展	2.90	3.21	3.08	3.01	3.09	$\sim$
B4. Equality before the law 法律面前一律平等	-	-	-	3.98	3.80	\
C. Hong Kong - Mainland Relations 陸港關係	-	-	-	3.61	3.77	/
C1. Resolving differences via dialogue and negotiation 對話協商解決矛盾	2.46	2.63	2.53	2.59	2.81	~/
C2. Safeguarding national sovereignty, security & development interests 維護國家主權、安全和發展利益	-	-	-	4.99	5.20	/
C3. Maintaining prosperity and stability 維持繁榮穩定	-	-	-	4.15	4.22	/
C4. Full implementation of 1C2S in the future 未來全面落實 「一國兩制」	2.30	2.53	2.37	2.70	2.85	~

#### 2.1.1. Generation gap and political divide

Figure 2 shows Index (A) by age in this round of survey. Though there is a clear divide between younger and older groups, all their average scores do not exceed the median of 5.

The generation gap in the evaluation of 1C2S is obvious and continues to widen where the average scores increase with age. The point of divergence is found within 40-49 age group, of which decrease in average score is relatively stable (-1.7%). While 18-29 age group hit a record low of only 2.11, having dropped by a staggering 16.3%, 30-39 age group also discontinued its upward trend in previous rounds and decreased by a dramatic 14.8% since it intersected with 40-49 age group in the last round. Both 60-69 and 70 age groups or above remained the only age group producing the strongest growth, exceeding the late-extradition period levels.

Figure 3 shows Index (A) by political inclination in the past surveys. In this round, average scores produced by all political groups, excluding the pro-establishment supporters, collectively dropped. The pro-establishment group, whose scores never dropped lower than 7, produced a score of 7.20 in this round after a 1.8% rise from January 2021. Apart from this, all other groups continue to produce below-median scores. Other non-establishment supporters, fell even lower to less than 1 at just 0.9.

Statistical tests revealed that there was obvious and consistent discrepancy in evaluation scores across all political inclinations. In comparison with moderates, the evaluations of pro-establishment supporters were significantly higher. On the other hand, evaluations of non-establishment supporters were significantly lower. After the democrats and other non-establishment supporters converged in late 2019, the latter again estranged from the general non-establishment trend with its average score plummeting by 35.7%, compared to a mere 12.8% drop in the democrat group. The trend of polarisation is evident not just between supporters of different political strata, but also within these strata.

#### 2.1.1. 代溝及政治鴻溝

圖2顯示過去調查中,各個年齡組別在指數(A)的評分。最新一輪結果顯示代溝正在擴大,但所有年齡組別的平均評分均不超過5分的中位數。

從這些數字可見市民對「一國兩制」評價的代溝顯而易見。年輕一代的評分普遍低於較年長的組別。分野見於40-49歲組別中,其跌幅相對平穩(-1.7%)。18-29歲組別則急速下降16.3%,錄得只有2.11分的記錄新低,30-39歲組別同樣經歷大幅度跌幅,早前錄得的升幅沒有延續,分數自上輪與40-49歲組別交叉後下滑14.8%。各年齡組別中只有60-69及70歲或以上組別維持較強的升勢,更超越修例後期水平。

圖3顯示過去調查中,不同政治傾向在指數(A)的評分。最新一輪中所有政治傾向受訪者,除了建制派外均錄得跌幅。建制派產生的評分從未跌低於7分,本輪從2021年1月上升1.8%後有7.20分,其他政治派別則繼續跌低於中位數位置,當中其他非建制派支持者更跌至低於1,本輪只產生0.9分。

經統計檢測,不同政治傾向的評價存在既明顯又一貫的差異。建制派評分比溫和派顯著較高,而非建制派評分則比溫和派顯著較低。自從民主派及其他非建制派評分在2019年底趨同後,後者再次偏離非建制派的總體趨勢。其他非建制派本輪的平均分急跌35.7%,而民主派只跌12.8%。由此可見,兩極化趨勢不但見於不同政治派別之間,更存在於派別之中。

Figure 2: Index (A): Public Opinion (by age)

圖 2:指數(A):民意調查(按年齡組別劃分)

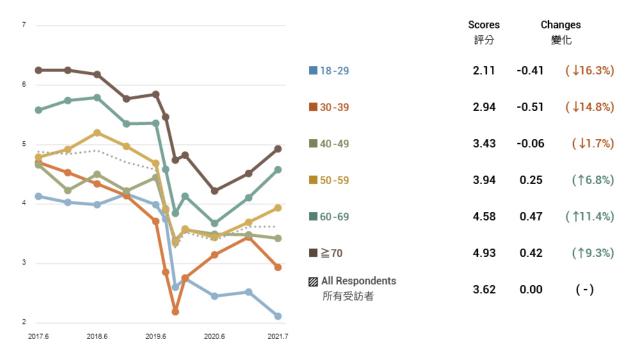
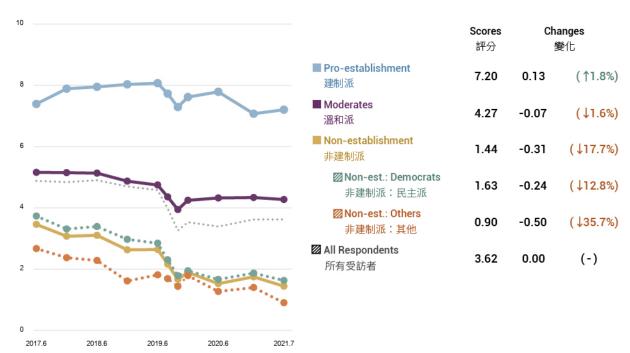


Figure 3: Index (A): Public Opinion (by political inclination)

圖 3:指數(A):民意調查(按政治傾向劃分)



#### 2.2. Index (B): International Perception

We obtain scores on Hong Kong's freedom and democracy from international think tanks to compile Index (B). These scores are derived from Cato-Fraser Institutes' Human Freedom Index, which comprises the Economic Freedom Index and Personal Freedom Index, and the Economic Intelligence Unit's Democracy Index. The scores and ranks of Hong Kong since 2010 are shown in Table 2.

As international studies cover a very large number of countries, they do not always reflect the latest conditions. We will update the indices with data from comparable international indicators whenever possible. The method of updating is detailed in Appendix I.

Hong Kong has always ranked number one in Economic Freedom Index, with a score of around 9, the latest score dropped to its lowest since 2010 but is still relatively high at 8.83. The drop in the index score perhaps reflected downgrades of credit rating of Hong Kong in late 2019 and early 2020, but the high score also testified to the economic resilience of Hong Kong.

Hong Kong's score in Personal Freedom Index peaked at 8.94 in 2010, dropped to an estimated score of 8.35 in 2020. Given the adverse publicity in the international media on recent political events in Hong Kong, the decline of 6.6% from its peak is not surprising. Nonetheless, Hong Kong's 2020 score of 8.35 is still relatively high.

The Democracy Index rose from 5.92 in 2010 to a peak of 6.50 in 2017, rising by 9.8%, reflecting that Hong Kong has made some progress in democratisation vis-à-vis the increase of directly elected seats in the legislature. However, Hong Kong's score fell to 5.57 in 2020, falling by 14.3% from the peak in 2017. The new score of 5.57 marks a historic low.

Index (B) rose from 7.94 in 2010 to a peak of 8.05 in 2014, rising by 1.4%, largely as a result of the rise in Hong Kong's Democracy Index. Since then, it has embarked on a declining trend. The latest reading came in at 7.58, representing a drop of 5.8% from the peak.

#### 2.2. 指數 (B):國際評價

我們從國際智庫對香港自由民主水平的評分編製指數(B)。評分分別取自卡托研究所及菲沙研究所編製的「人類自由指數」,由「經濟自由指數」及「個人自由指數」組合而成,及經濟學人智庫編製的「民主指數」。表2顯示香港由2010年起的分數和排名。

由於國際調查覆蓋大量國家,數據不一定反映最新情況,我們將按其他相應的國際指數估算香港 最新的評分。更新方法詳見附錄I。

香港在「經濟自由指數」長期名列世界第一,評分約為9分。最新評分雖跌至2010年起最低位,但仍處於相當高的位置,有8.83分。跌勢或者反映2019年底及2020年初不同國際機構對香港降低信用評級,但此高分說明香港經濟抗逆力。

香港的「個人自由指數」評分最高為2010年的 8.94分,一直下滑至2020年估算的8.35分。鑒於國際社會對香港近期的政治事件反應負面,由最高位下跌6.6%並不令人意外。儘管如此,2020年的 8.35分仍然不俗。

香港的「民主指數」由2010年的5.92分上升至2017年6.50分的頂點,升幅為9.8%,反映立法會增加直選議席令民主化取得一定進展。不過,2020年的評分由2017年的高峰下降14.3%至5.57分,創歷史新低。

指數(B)由2010年的7.94分上升至2014年8.05分的頂點,升幅為1.4%,主要因為香港的「民主指數」上升。其後持續下跌,最新評分下降至7.58分,自高峰下跌5.8%。

Table 2: Index (B): International Perception

表 2:指數 (B) :國際評價

	Human Freedom Index 「人類自由指數」		Democracy Index	Aggregate	
	Economic Freedom 「經濟自由」	Personal Freedom 「個人自由」	Sub-aggregate 分數	- 「民主指數 」	總分
2010	8.97 (1)	9.00 (21)	8.99 (1)	5.85 (84)	7.94
2011	8.90 (1)	8.97 (22)	8.94 (1)	1-	_
2012	8.91 (1)	8.94 (23)	8.93 (1)	5.92 (80)	7.92
2013	8.88 (1)	8.94 (22)	8.91 (1)	5.92 (80)	7.91
2014	8.84 (1)	8.89 (23)	8.87 (1)	6.42 (63)	8.05
2015	8.81 (1)	8.76 (26)	8.79 (2)	6.42 (65)	8.00
2016	8.85 (1)	8.80 (27)	8.83 (2)	6.46 (66)	8.04
2017	8.84 (1)	8.70 (31)	8.77 (4)	6.50 (67)	8.01
2018	8.94 (1)	8.53 (32)	8.74 (3)	6.15 (73)	7.87
2019	8.83^	8.36^	8.60^	6.02 (73)	7.74
2020	8.83^	8.35^	8.59^	5.57 (87)	7.58

Note: '^' denotes updates based on comparable indicators

註:「^」為更新自相應的國際指標



#### 2.2.1. Components of Personal Freedom Index

Table 3 shows Hong Kong's scores and ranks in the seven components of the Personal Freedom Index from 2010 to 2020. During this period, the score of 'security & safety' has dropped by 0.02 as HK fell to eighth place in the category while the score of 'movement' has been constant at 10. Since 2019, 'association & assembly' dropped further from 6.47 to 4.85 and 'expression & information' from 9.17 to 8.93, suggesting concerns on personal freedoms in Hong Kong from the international community.

#### 2.2.1. 「個人自由指數」的子項目

表3顯示從2010年至2020年,香港在「個人自由指數」七個子項目上的分數和排名。期間,「安全」評分下跌0.02分,屈居世界第八,「遷徙自由」評分則保持10分。自2019年,「結社、集會及公民社會自由」則由6.47分跌至4.85分,「言論自由」由9.17分跌至8.93分,跌幅顯示國際社會對香港的個人自由有所顧慮。

Table 3: Personal Freedom Index

表 3: 「個人自由指數」

<b>Year</b> 年份	Rule of Law 法治	Security & Safety 安全	Movement 遷徙自由	Religion 宗教自由	Association, Assembly & Civil Society 結社、集會及 公民社會自由	Expression & Information 言論自由	Identity & Relationships 性別認同及關係	Aggregate 總分
2010	7.50 (17)	9.93 (3)	10.00 (1)	8.16 (73)	8.25 (74)	9.44 (39)	10.00 (1)	8.94 (23)
2011	7.50 (17)	9.97 (1)	10.00 (1)	8.09 (73)	8.26 (75)	9.42 (39)	10.00 (1)	8.94 (22)
2012	7.39 (19)	9.95 (4)	10.00 (1)	8.19 (66)	7.95 (83)	9.38 (46)	10.00 (1)	8.89 (23)
2013	7.39 (19)	9.47 (39)	10.00 (1)	8.29 (57)	7.81 (88)	9.33 (47)	10.00 (1)	8.76 (26)
2014	7.80 (14)	9.53 (35)	10.00 (1)	8.13 (68)	7.32 (96)	9.26 (49)	10.00 (1)	8.80 (27)
2015	7.79 (16)	9.54 (34)	10.00 (1)	8.35 (59)	7.08 (100)	9.28 (48)	9.00 (36)	8.70 (31)
2016	7.14 (23)	9.53 (34)	10.00 (1)	8.47 (41)	6.78 (105)	9.22 (49)	9.00 (37)	8.52 (33)
2017	7.14 (23)	9.96 (2)	10.00 (1)	8.47 (39)	6.78 (105)	9.17 (48)	9.00 (30)	8.62 (30)
2018	7.07 (23)	9.91 (8)	10.00 (1)	8.26 (49)	6.47 (108)	9.17 (48)	9.00 (30)	8.53 (32)
2019	7.07^	9.91^	10.00^	8.26^	4.85^	9.06^	9.00^	8.36^
2020	7.07^	9.91^	10.00^	8.26^	4.85^	8.93^	9.00^	8.35^

Note: Number in brackets indicates world ranking; '^' indicates updates based on comparable indicators

註:括號為國際排名;「^」為更新自相應的國際指標

#### 2.2.2. Comparison with Hong Kong's neighbours

As Hong Kong is a highly developed region and given that highly developed regions tend to have comparatively higher scores in freedom and democracy as compared with developing regions – we have selected certain neighbouring developed countries and territories (namely, Japan, South Korea, Singapore, and Taiwan) as benchmarks for comparison and assessment of Hong Kong's performance. We have also compared the respective scores of the Mainland and Hong Kong under the indices, for the purpose of identifying whether Hong Kong has become increasingly 'mainlandised' under 1C2S. For brevity, we will refer to Japan, South Korea, Singapore, Taiwan, and the Chinese mainland as 'neighbours'.

Table 4 compares Hong Kong and its neighbours in the various indices of Index (B) in 2020. Hong Kong's score in Economic Freedom was world leading while its score in Personal Freedom Index was at par with its developed neighbours. Due to Hong Kong's superlative performance in Economic Freedom Index, its score in Human Freedom Index was stronger than the four developed neighbours. However, Hong Kong's Democracy Index was lower than its developed neighbours, even Singapore who always used to score lower than Hong Kong surpassed Hong Kong in the latest index score. Consequently, Hong Kong's Index (B) score of 7.58 was lower than Japan's 8.42, Korea's 8.25, and Taiwan's 8.59, but above Singapore's 7.46 and was much higher than Mainland's 4.81.

Table 5 compares the 2020 Personal Freedom Index of Hong Kong with its neighbours. Hong Kong's scores were in the same league as those of our developed neighbours (Japan, Korea, Singapore, and Taiwan), and were much higher than those of the Mainland. Hong Kong's aggregate score of 8.35 was higher than that of Singapore's 7.71 but slightly lower than Japan's 9.13, Korea's 8.88, and Taiwan's 8.90.

In comparison with its four developed neighbours, Hong Kong was relatively strong in 'security & safety' and 'movement', but relatively weak in 'rule of law' and 'association, assembly & civil society'. Hong Kong was more or less at par with others in the remaining four components, namely, 'religion', 'expression & information', and 'identity & relationships'. Hong Kong's relatively low score in 'rule of law' and 'association, assembly & civil society' nevertheless warrants concern.

#### 2.2.2. 比較鄰近地區

一般而言,發達地區的自由與民主指數,均高於發展中的地區。由於香港是高度發展的地區,所以我們選取鄰近的發達國家及地區,包括日本、南韓、新加坡及台灣作比較,藉以評價香港的表現。我們亦比較中國內地與香港的自由民主指數,以觀察在「一國兩制」之下的香港是否趨向「大陸化」。為簡便起見,我們將日本、南韓、新加坡、台灣與中國內地稱為「鄰近地區」。

表4比較2020年香港和鄰近地區的指數(B)。香港的經濟自由名列世界前列,個人自由亦媲美鄰近發達地區。香港的經濟自由卓越,使其「人類自由指數」勝過以上四個鄰近發達地區。不過香港的「民主指數」則低於它們,包括以往香港經常超越的新加坡。整體來說,香港7.58的指數(B)評分落後於日本的8.42分、南韓的8.25分和台灣的8.59分,但領先新加坡的7.46分。香港在各種指數的評分則比中國內地的4.81分高出不少。

表5比較2020年香港和鄰近地區的「個人自由指數」。香港評分良好,接近日本、南韓、新加坡及台灣等鄰近發達地區,並大幅拋離內地。香港的總分為8.35分,遠遠高於新加坡的7.71分,但略低於日本的9.13分、南韓的8.88分及台灣的8.90分。

比較四個鄰近發達地區,香港在「安全」和「遷 能自由」較優勝,「法治」及「結社、集會及公 民社會自由」則相對遜色。其餘四項,即「宗教 自由」、「言論自由」和「性別認同及關係」, 香港與鄰近發達地區不相伯仲。儘管「法治」及 「結社、集會及公民社會自由」的評分低的情況 亦令人擔憂。

Table 4: Index (B): International Perception of Hong Kong and neighbours (2020)

表 4:香港與鄰近地區之指數 (B) :國際評價 (2020)

		Human Freedom Index 「人類自由指數」		Democracy Index	Aggregate
	Economic Freedom 「經濟自由」	Personal Freedom 「個人自由 」	Sub-aggregate 分數	「民主指數 」	總分
Hong Kong 香港	8.83	8.35	8.59	5.57 (87)	7.58
Mainland China 中國內地	6.33	5.82	6.08	2.27 (151)	4.81
Japan 日本	8.01	9.13	8.57	8.13 (21)	8.42
Korea 韓國	7.87	8.88	8.38	8.01 (23)	8.25
Singapore 新加坡	8.65	7.71	8.18	6.03 (74)	7.46
Taiwan 台灣	7.92	8.90	8.41	8.94 (11)	8.59

Note: Updates based on comparable indicators

註:更新自相應的國際指標

Table 5: Comparing Personal Freedom Index of Hong Kong and neighbours (2020)

表 5:比較香港與鄰近地區之「個人自由指數」(2020)

	Rule of Law 法治	Security & Safety 安全	Movement 遷徙自由	Religion 宗教自由	Association, Assembly & Civil Society 結社、集會及 公民社會自由	Expression & Information 言論自由	Identity& Relationships 性別認同 及關係	Aggregate 總分
Hong Kong 香港	7.07	9.91	10.00	8.26	4.85	8.93	9.00	8.35
Mainland China 中國內地	4.14	9.23	5.00	3.29	1.69	5.79	9.00	5.82
Japan 日本	7.97	9.96	10.00	9.04	9.52	9.74	8.17	9.13
Korea 韓國	7.64	9.75	8.33	9.10	8.84	10.00	9.00	8.88
Singapore 新加坡	7.68	9.56	8.33	6.25	5.08	6.99	7.33	7.71
Taiwan 台灣	6.94	9.31	10.00	9.18	9.14	10.00	10.00	8.90

Note: Updates based on comparable indicators 註:更新自相應的國際指標

#### 2.3. 1C2S Mass Media Index

Media sentiment is an important factor in the formation of public opinion. We attempt to use big data techniques to measure media sentiment towards 1C2S and monitor how performance of 1C2S is covered in the mass media to provide a timely barometer of public sentiment. 1C2S MMI is a net sentiment index of newspaper articles over a given time. To dovetail with our main Index, we compile the MMI biannually. We have mined over 162,000 news articles and around 82 million words from 21 local daily newspapers to compile the MMI (See Appendix I for details). MMI is set at 100 in the base month of July 2017, the 20th anniversary of Hong Kong's return to China.

In the longer run, subject to resource availability, MMI opens up many opportunities of further research in public opinion formation. The MMI can be compiled at high frequency intervals (e.g. monthly) as it is not subject to long time lags as found in surveys. It is also possible to investigate the effect of specific significant changes in media sentiment, or to compare sentiments in the local and overseas media.

Newspaper is only part of the media covered in our study as the MMI does not cover news reported by traditional electronic media such as television and radio, nor news carried by new media. Measuring the sentiments of news reported by television and radio is very difficult as there is no comprehensive text-based data base available. Measurement of sentiments of new media is also very difficult as it will be very demanding on resources. Furthermore, while widely accepted credibility ratings of different newspapers are available through regular opinion polls, comparable ratings on the credibility of different new media outlets are not available. We thus confine our study to newspaper articles on 1C2S.

It should be noted that many newspapers have also broadcasted their news through online outlets such as websites and mobile apps. In so far as the news articles of these online outlets are the same as their printed versions, the sentiments of these articles are already included in our MMI regardless of whether they are printed or broadcasted online. 1C2S MMI currently only gauges sentiment of an important subset of mass media, namely, printed newspapers, as a general representation of sentiment in mass media.

#### 2.3. 「一國兩制」 輿情指數

媒體所傳遞的情緒是形成民意的重要因素,故此,我們嘗試利用大數據技術去衡量媒體情緒,藉此透視大眾傳媒對「一國兩制」的感受及意見,編製輿論情緒對「一國兩制」的晴雨表。「一國兩制」與情指數為一段時間內報紙文章的情緒淨值指數,以半年結為基礎,配合我們的主指數及補充其不足之處。輿情指數從21家本地報章搜集超過162,000篇報導,逾8,200萬字(詳見附錄I)。輿情指數的基數月訂為2017年7月,基數為100,以標誌回歸20周年的情況。

長遠而言,如資源許可,輿情指數能為民意形成開拓更多研究機會。與情指數不受以傳統調查方式收集民意時所產生的滯後影響,因此能以高頻率編製(例如每月)。它亦可以調查特定重大事件對媒體情緒的影響,或比較本地及海外媒體的情緒。

報紙只是媒體的一部分,本報告的輿情指數不包括電視、電台等傳統電子媒體報導的新聞,也不包括新媒體所報導的新聞。由於電視和電台沒有全面的文本數據庫,所以要衡量它們的新聞情緒非常困難。衡量新媒體的情緒則對資源有較高要求,所以也非常困難。此外,民意調查定期評估不同報紙的公信力,得到廣泛認可,新媒體的公信力卻沒有相應的評價。故此,我們的研究將會聚焦於與「一國兩制」有關的報紙文章。

值得注意的是,不少報紙也透過網站、手機程式等網上媒介傳播。這些網上媒介的報導與印刷版本大多相同,所以無論是印刷或網上版本,其情緒已經涵括在與情指數之中。目前,與情指數只衡量大眾傳媒的一個重要部分——印刷報紙,以評估大眾傳媒整體的與情。

#### 2.3.1. Recent trend

Although the promulgation of the Outline Development Plan for the Guangdong-Hong Kong-Macao Greater Bay Area sparked hopes for future economic growth, Beijing's 1C2S strategy over Taiwan also drew public attention as to whether Hong Kong is a flawed example of the experiment. The anti-extradition movement emerged abruptly and caused the worst governance crisis since the Handover in June 2019 which dragged MMI from 91.6 to 84.5 points in the first half of 2019 before plummeting further to 67.2 as 2019 drew to a close.

Year 2020 began with personnel change in the central government responsible for Hong Kong affairs, involving the upgrade of the central committee on Hong Kong and Macau affairs from 'coordination group' to 'leading group', and a restructuring of the Hong Kong and Macau Affairs Office and new directorship of the Hong Kong Liaison Office. After this, a massive wave of news coverage pushed MMI upward to 73.0 before it showed a minor drop as COVID-19 crisis management aroused debates over cross-border visitor control, followed by speculations about the impact of National Security Law which pushed MMI down to 66.7 in June 2020.

The latter half of 2020 was no less controversial as the much-feared National Security Law was eventually enacted and the LegCo election was postponed for a year. The argument over 'executive-led system' and 'separation of powers' caused unease among some. Such sentiment peaked when the HKSAR government announced immediate disqualification of four lawmakers following an NPCSC decision and the arrest of pro-democracy mogul Jimmy Lai. Notwithstanding the shock these events induced, MMI steadily rose and reached 78.0 by the end of the year. The rise coincided with Xi's assuring remark on the future of 1C2S in a speech he delivered for the 40th anniversary of Shenzhen SEZ as well as the inclusion of 1C2S in China's 14th Five-Year Plan and the 2035 Vision.

The upward trend observed in the latter half of 2020 continued after the decision on electoral reform that foregrounded the principle of 'patriots administering Hong Kong', despite mass arrests against democrat primaries. MMI briefly went down between the first and second quarters as District Councilors assessed whether to resign or pledge allegiance. Nonetheless, overall sentiments have increased by 10.4% from January to June 2021 and this appeared to coincide with an apparent adjustment of reporting style of media that used to be highly critical of 1C2S. The latest score is 88.4.

#### 2.3.1. 近期趨勢

雖然《粵港澳大灣區發展規劃綱要》為未來經濟發展帶來希望,中央政府提出的「一國兩制」台灣方案,令群眾思考香港是否一個可取例子。 2019年6月爆發的反修例運動蘊釀回歸後最大的管治危機,令輿情指數在上半年由91.6點拖低至84.5 點,下半年更急瀉至67.2點的谷底。

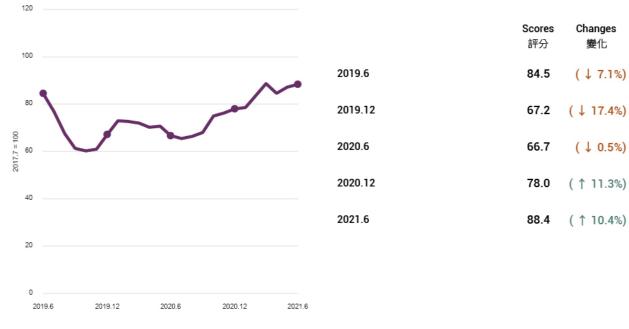
2020年始於中央涉港部門的人事變動,包括中央港澳工作協調小組升格為領導小組、港澳辦改組、中聯辦任命新主任。連日的傳媒報道一度把與情指數推高至73.0點,但於新冠肺炎的封關爭議中稍微回落,緊接後來對《港區國安法》的各種揣測,令與情指數於2020年6月跌至66.7點。

2020年下半年同樣不乏爭議,廣受恐懼的《國家安全法》終於實施、立法會選舉被推遲一年、有關「行政主導」及「三權分立」的爭論等都引起了公眾的不安。不安的情緒更在特區政府宣佈人大常委會取消立法會四名議員資格以及泛民領袖黎智英被捕後達至頂峰。儘管這些事件引起了重大的迴響,輿情指數卻穩步上升,年底更達78點。升幅與習近平在深圳經濟特區成立40週年致辭中就「一國兩制」未來的發言、「一國兩制」在中國的「十四五規劃」中的「2035年遠景」中所佔位置等事件重叠。

儘管「民主派初選」觸發大規模拘捕,彰顯「愛國者治港」原則的選舉制度改革在2021年初公布,持續輿情指數的升勢。第一季至第二季之間,指數短暫下降,期間區議員正考慮是否辭職或宣誓效忠。總括而言,2021年1月至6月的輿情指數上升10.4%,而同期部分對「一國兩制」向來較嚴厲的媒體,於評論風格上有所調整。最新讀數為88.4點。

Figure 4: 1C2S MMI (2019-2021)

圖 4:「一國兩制」輿情指數(2019-2021)





#### 2.4. Significant events

Changes in scores in the indices are likely to be affected by significant political and other landmark events that occurred during the relevant period. Listed below are the major events that occurred since the last report from January to June 2021. The major events that occurred in previous reports from mid-2017 to late 2020 are listed in Appendix II.

Internal governance issues that are not strictly related to 1C2S appear to have important effects on evaluations of the Hong Kong public and media, but do not necessarily affect international evaluations as much. On the other hand, evaluations of international think tanks may not be free of western ideological biases against the rise of China, but they may also reflect genuine weaknesses in the implementation of 1C2S. It is important to bear these impacts in mind when reading the findings identified in this Report.

2.4. 「一國兩制」的評價

報告期間發生不少政治爭議和重大事件,與「一國兩制」指數的變化有一定關係。上期報告至今,即2021年1月至6月的重大事件臚列如下。早輪調查期間,即2017年中至2020年末的重大事件詳見附錄II。

與「一國兩制」無直接關係的內部管治問題,對香港市民和輿情的評價似乎有一定影響,但對國際評價影響不大。西方愈來愈擔心中國崛起將會挑戰其優勢,所以對中國的態度由合作轉向對抗。西方智庫對「一國兩制」的評價不能完全擺脫此意識形態的轉向,但也可能反映「一國兩制」在實踐上的真正弱點。在解讀此報告的結論時,應予以考慮。

Table 6: Significant events (2021 H1) 表 6: 重大事件一覽 (2021 H1)

- 1.6 Over 50 pro-democracy figures were rounded up over alleged subversion through participating in pro-democratic primaries. Kickstart Wan Chai announced its disbandment.
- 1.16 US Department of the Treasury imposed sanctions on 6 additional Hong Kong and Mainland officials, citing their roles in damaging the autonomy of Hong Kong.
- 1.29, China announced that they would no longer recognize BNO as
  2.4 & valid travel document and proof of identity as the UK started accepting applications for BNO visa from Hong Kong people. The Canadian government launched new pathways for graduates of tertiary levels from Hong Kong to apply for work permits and for those who work in and graduate from the country for permanent residence.
- 2.22, After HKMAO director Xia Baolong delivered a speech at the
  3.11, symposium on implementing principle of 'patriots administering
  3.30 & Hong Kong', the NPC and its standing committee passed the
  5.27 Decision on Improving the Electoral System of the HKSAR and the
- 5.27 Decision on Improving the Electoral System of the HKSAR and the amendment of the Basic Law. LegCo of HKSAR subsequently passed the local legislation.
- 2.23, The Hong Kong government introduced legislative amendments 5.12, stipulating oath-taking requirements for public officers including
- 5.21, District Council members. The possibility to return salary due to
- 6.20 & disqualification prompted large scale resignation. Over 10 district
   6.26 councillors quitted the Civic Party while Neo Democrats and
   Community Sha Tin announced disbandment.

超過50人因參與民主派初選,被指違反「顛覆國家政權罪」而被捕,灣仔起步宣布解散。

美國財政部再以破壞香港自治為由,制裁多6名內地與 香港官員。

英國開始接受BNO簽證申請,中國隨即宣布不再承認 BNO護照為有效旅遊證件及身份證明。加拿大則宣布 新措施,提供工作簽證予香港大專畢業生,及永久居留 權予當地工作或畢業的港人。

港澳辦主任夏寶龍發表「愛國者治港」講話後,全國人 大及其常委會通過《關於完善香港特別行政區選舉制度 的決定》及修改香港《基本法》,並由香港立法會通過 本地立法。

政府修訂公職人員宣誓規定,涵蓋至區議員。被取消資格者或被追討薪津,觸發大規模辭職潮。約十名公民黨區議員宣布退黨,新民主同盟及沙田區政則宣布解散。

2.25 & The University of Hong Kong and the Chinese University of Hong 4.30 Kong decided to stop collecting fees on behalf of respective student unions, to halt the provision of venues and facilities and to remove duties of office bearers within university committees.

香港大學及香港中文大學決定停止為學生會代收會費、 暫停場地設施支援及取消校內委員會職務。

4.16. Pro-democrats including Jimmy Lai, Martin Lee and Joshua Wong were convicted of offences related to unauthorised assemblies on 5.6 &

5.28 August 18, 2019, October 1, 2019 and/or June 4, 2020, and have been sentenced to 4 to 18-month jail terms.

5.14, Pursuant to the NSL, Secretary for Security froze assets of Next 6.17 & Digital founder Jimmy Lai including his shares in the media and 6.27

assets of three other firms. Hong Kong Police also arrested five senior staff at Next Digital, with another two arrested at their home and airport. They were suspected of violating the NSL. 26-year-old Apple Daily eventually ceased its publication on June 24.

5.18 & The Hong Kong Economic, Trade and Cultural Office (Taiwan) 6.20 temporarily suspended operations. Taipei Economic and Cultural Office adjusted their business in Hong Kong, preserving only the essential operations.

6.11 Amendments to the guidelines for film censors were gazetted to vet films for national security breaches.

6.25 Major changes were made to the principal officials. Secretary for Security John Lee replaced Matthew Cheung as Chief Secretary while Commissioner of Police Chris Tang was promoted to Secretary for Security. All the promoted officials are of security backgrounds and roused concerns for the unravelling of a 'police' government'.

6 board members of the parent company of the online media 6.27 outlet, Stand News, resigned. Stand News put down commentaries previously published and ceased to accept donations at the same time.

黎智英、李柱銘、黃之鋒等多名民主派人士,各就 2019年8月18日、2019年10月1日及2020年六四集會 案,被裁定多項與未經批准集結有關之罪行,判監4至 18個月。

保安局局長運用國安法凍結黎智英持有的壹傳媒股份和 三間公司資產。警方以涉嫌違反國安法拘捕壹傳媒5名 高層,另外兩人於寓所及機場被捕。創刊26年的《蘋 果日報》於6月24日停刊。

香港經濟貿易文化辦事處(台灣)停止運作。台北經濟 文化辦事處調整駐港業務,只保留必要運作。

政府修訂《電影檢查條例》檢查員指引,如上映影片相 當可能構成危害國家安全的罪行會被視為不宜上映。

問責團隊換班,保安局局長李家超接替張建宗為政務司 司長,警務處處長鄧炳強擔任保安局局長。獲提拔的官 員均為保安背景出身,引發外界對「警察政府」擔憂。

網媒立場新聞的母公司六位董事辭任,並將評論文章下 架,暫停接受會員資助。



## 3 去激進化跡象 Sign of Deradicalization

Figure 5 shows the composition of Hong Kong population by political inclination. Moderates (which include centrists and those without specific political inclination) remain the largest group (56.0%), followed by non-establishment supporters (31.6%) and pro-establishment supporters (12.3%). Non-establishment supporters are further divided into democrats (23.6%) and 'others' (8.1%), which constitutes mostly the localist camp.

圖5顯示香港市民的政治傾向。溫和派為最大組別(56.0%),包括「中間派」和「沒有政治傾向」的市民。非建制派排名第二(31.6%),建制派佔最少(12.3%)。非建制派可以再細分為民主派(23.6%)和以本土派為主的其他非建制派(8.1%)。

Over the last half year, the gap between the sizes of moderates and non-establishment supporters are steadily widening, as the two diverge from each other with a rise of 2.4 percentage points of the former and a drop of 4.1 percentage points of the latter. Among non-establishment supporters, the proportion of democrats and others dropped by 2.8 percentage points to 23.6% and 1.2 percentage points to 8.1% respectively. Pro-establishment supporters increased 1.7 percentage points to 12.3%, continuing to outnumber other non-establishment supporters for the second consecutive round.

最近半年,溫和派與非建制派支持者的份額差距 持續擴大,前者有2.4個百分點升幅,後者有4.1個 百分點下降。非建制派中的民主派及其他非建制 派支持者,分別跌2.8個百分點(至23.6%)及1.2 個百分點(至8.1%)。建制派上升1.7個百分點至 12.3%,再次超越其他非建制派。

A hopeful trend of de-radicalisation and the rise of moderation endured from mid-2017 to mid-2019. The proportion of moderates and pro-establishment in the population rose while that of non-establishment supporters declined. Radicalism resurged abruptly since then leading to a reversal of trend until the political rift began to heal in early 2020. The pro-establishment started to regain supporters since late 2019, while the moderates rebounded from its bottom and the non-establishment shrank from its peak in mid-2020.

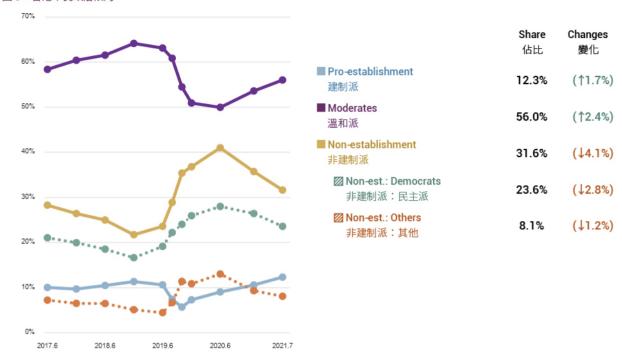
從2017年中至2019年中,去激進化及溫和政治興起,溫和派和建制派經歷增長,而非建制派則下降。自此以後,趨勢急速逆轉並持續至政治氣氛開始冷卻的2020年上半年。建制派率先於2019年底止跌,溫和派及非建制派分別於2020年中從最低點回升及從最高點回落。

In the latest round, moderates and non-establishment supporters continue their respective trends of rise and decline. In combination with a steadily declining population of other non-establishment supporters, the overall trajectory points to a narrowing political divide. It appeared that the subsiding momentum of political controversies, such as the anti-extradition movement and the enactment of NSL, is beginning to show in the political makeup of the population.

最新一輪,溫和派及非建制派延續各自的升勢及 跌勢,結合本土派佔比的急速下降,政治分野整 體上有收窄的跡象。社會運動的休整再加上《國 安法》的實施,市民對政治議題的關注程度大不 如前,似乎也反映於他們的政治傾向之上。

Figure 5: Political inclination of population

圖 5:香港市民政治傾向





### 3.1. De-radicalisation of youths

Figure 6 shows the change in the composition of youths (aged 18 to 29) by political inclination. The proportion of the non-establishment group dropped slightly by 2.9 percentage points to 53.1%, while the moderates rose by 4.5 percentage points to 45.2%. Within the non-establishment group, the gap between youths identified as democrats and other non-establishment is once again widening after they intersected, proportions of the former continued to grow by 5.2 percentage points to 33.2% while latter decreased further by 8.1 percentage points to 19.9%.

From mid-2017 to mid-2019, there had been encouraging signs of de-radicalization of youths, when population of moderates was high and rising. This trend, however, was reversed as the proportion of non-establishment supporters witnessed a steep rise, surpassing moderates for some time. Furthermore, within the non-establishment group, localists overtook democrats in certain periods. Since mid-2020, the situation of radicalisation clearly eased as growth in moderates and democrats clearly surpassed the proportion of localists. Nonetheless, despite some steady decline in the proportion of other non-establishment population, fact that almost one-fifth of youths continue to identify themselves as belonging to the localist camp is still a worrisome phenomenon.

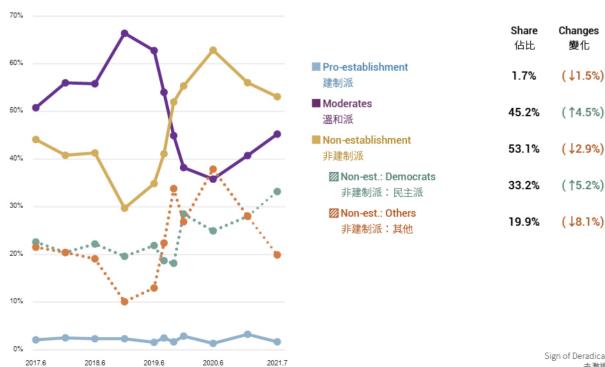
#### 3.1. 香港青年的去激進化

圖6顯示青年(18至29歲)的政治傾向變化。最近 半年,非建制派的比例下降2.9個百分點至 53.1%,溫和派的比例則增加4.5個百分點至 45.2%。非建制派中,民主派與其他非建制派的佔 比呈現交叉後拉遠,前者的比例增加5.2個百分點 至33.2%,後者則下降8.1個百分點至19.9%。

從2017年中至2019年中,青年溫和派不但高企, 還慢慢攀升,呈現令人鼓舞的去激進化跡象。不 過,趨勢隨後急速扭轉,令溫和派屈居於急速增 長的非建制派之後。更甚者,青年本土派曾經數 度超越民主派。自2020年中,去激進化的跡象重 現,溫和派及民主派逐步滋長,而本土派的比例 則減低。儘管其他非建制派在年輕組別中不斷下 降,約五分之一年輕人自認自己為本土派,情況 仍然令人擔憂。

Figure 6: Political inclination of youths

圖 6:青年的政治傾向



# 4

## 2047年後延續「一國兩制」 Continuation of 1C2S beyond 2047

An issue of utmost importance for the future of Hong Kong is the continuation of 1C2S beyond 2047. Table 7 shows that 67.7% agreed to the continuation of 1C2S beyond 2047, while 18.4% held the opposite view, leading to a decrease of 10.6 percentage points in net support rate which also made a historic low of 49.3%. Despite the 10.6 percentage points drop reaching statistical significance, meaning the public's current evaluation of 1C2S is quite critical, an overwhelming majority of over two-thirds of the respondents still regard 1C2S as the right system for Hong Kong's future.

Figure 7 shows the net support produced by respondents of different age groups. 30-39, 40-49 and 60-69 age groups had consistently produced at least 60% support for the continuation of 1C2S in past surveys but recorded statistically significant drops this time. Their net support rate is only 47.6%, 50% and 47.6% respectively, having dropped between 13.8 to 19.7 percentage points.

Figure 8 shows the net support produced by respondents of different political inclinations. The shock caused by the series of political controversies taking place in the first half of 2021 is reflected in the drop in net support rate across the political spectrum, with the exception of pro-establishment, which recorded a rise of 1.5 percentage points. Interestingly, the net support given by pro-establishment and democrats still maintain at over 60% (63.9% and 62.6% respectively) whereas the moderates produced a net value of only 47.2% after experiencing a statistically significant drop of 11.5 percentage points, the first time the group gave less than 50% support. Other non-establishment also produced a record low score of just 13.6%, a decrease of 32.7 percentage points.

2047年後是否繼續實行「一國兩制」乃關乎香港前途的關鍵議題。表 7顯示67.7%市民同意香港應該於2047年後延續「一國兩制」,反對的則升至18.4%,導致支持淨值下跌10.6個百分點,達49.3%的新低。縱使跌幅達統計上顯著水平,代表市民現時對「一國兩制」的評價較負面,超過三分之二的絕大部分市民依然相信「一國兩制」是適合香港未來的體制。

圖7顯示不同年齡組別的支持淨值變化。30-39、40-49及60-69歲組別在過去調查中,對延續「一國兩制」一直維持不少於60%的支持淨值,但本輸跌幅卻達統計上顯著水平。三個年齡組別的支持度淨值分別有47.6%、50%及47.6%,降幅介乎13.8至19.7個百分點。

圖8顯示不同政治派別的支持淨值變化。 2021年上半年一連串突如其來的政治爭議事件,令所有政治派別對延續「一國兩制」的支持淨值均錄得下跌,只有建制派微升1.5個百分點。總的來說,建制派及民主派的支持淨值仍超過60%(分別錄得63.9%及62.6%),溫和派下降11.5個百分點至47.2%,是有記錄以來首次低過一半,跌幅達統計上顯著水平。其他非建制派同樣錄得新低,下降32.7%後至13.6%。

Table 7: Attitudes towards the continuation of 1C2S beyond 2047

表 7:2047 年後香港應否繼續實行「一國兩制」

	2019.8	2019.10	2020.6	2021.1	2021.7
Should 應該	75.5%	73.5%	77.2%	74.1%	67.7%
Should not 不應該	10.1%	14.1%	11.3%	14.3%	18.4%
Don't know 唔知道	14.4%	12.4%	11.5%	11.6%	14.0%
Net value 淨值	65.4%	59.5%	65.9%	59.9%	49.3%

Net value: Percent who chose 'Should'  $\mathit{less}$  percent who chose 'Should Not'

淨值:認為「應該」的百分比減去認為「不應該」的百分比

Figure 7: Net support for continuation of 1C2S (by age) 
圖 7:繼續實行「一國兩制」的支持度淨值(按年齡組別劃分)

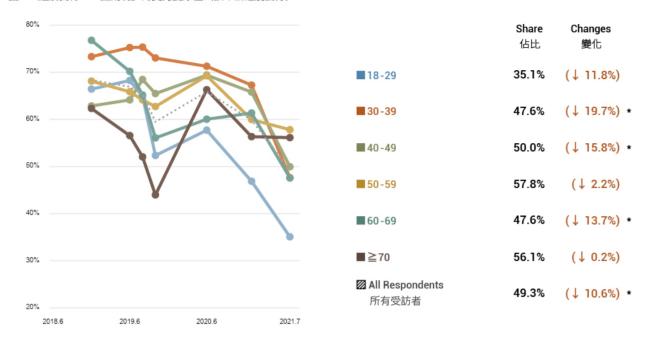
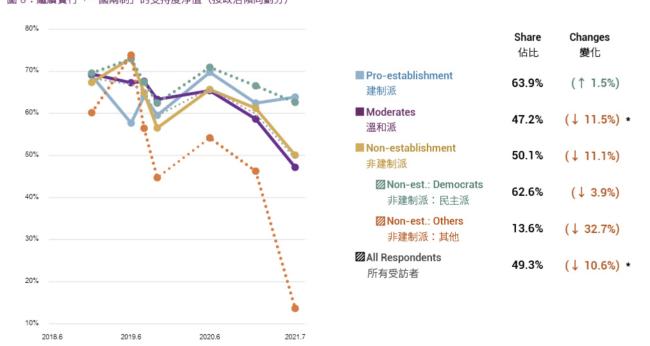


Figure 8: Net Value of support for continuation of 1C2S by political inclination 圖 8:繼續實行「一國兩制」的支持度淨值(按政治傾向劃分)



# 4.1. Favourable conditions to the continuation of 1C2S beyond 2047

If respondents agreed to the continuation of 1C2S after 2017, they were then asked to choose what conditions would be favourable to the continuation of 1C2S from the following list (they were allowed to choose more than 1 item):

- Political system democratises further;
- Maintaining national security (previously appeared as: Completing legislation of Article 23);
- Maintaining a high degree of autonomy;
- Maintaining economic prosperity and stability; and
- Others (please specify).

Figure 9 shows the percentages of respondents who chose each of the five conditions. For all respondents, 'maintaining a high degree of autonomy' (64.1%) remained the most important item. 'Maintaining economic prosperity and stability' bounced back to the second place in the latest round, after being overtaken by 'political system democratises further' for two years, the two are recorded at 57.9% and 48.8% respectively. Since the enactment of NSL, the importance of 'maintaining national security' continued to rise. In the latest round, it grew by 5.4 percentage points to a historic high of 20.5%.

Figure 10 shows how the pattern of choice differed by political inclination and the increasingly stark contrast among groups of different political inclinations. Interestingly, respondents of all political inclinations gave their respective record high level of importance to 'maintaining national security'. Among them, growth in importance recorded among pro-establishment and other non-establishment was the largest, with the former increasing by 11.8 percentage points to 42.5% and the latter growing by 8.5 percentage points to 11.9%, respectively occupying the second and last place for the two groups. For pro-establishment supporters, the importance of 'maintaining national security' this round is even higher than that recorded last year when NSL was first introduced, pushing 'maintaining a high degree of autonomy' to third place.

## 4.1. 2047 年後繼續實行「一國兩制」 的有利條件

如果受訪者同意於2047年後繼續實行「一國兩制」,他們會進一步被邀從下列清單中選出有利於2047年後繼續實行「一國兩制」的條件(可選擇多於一項):

- 政制進一步民主化;
- 維持國家安全(前為「完成23條立法」);
- 維持高度自治;
- 經濟維持繁榮穩定;及
- 其他(請註明)。

圖9顯示對所有市民而言,「維持高度自治」 (64.1%)仍然是首要選項。「經濟維持繁榮穩 定」回升至第二位,兩年後重新超越「政制進一 步民主化」,兩個選項分別錄得57.9%及48.8%。 自《港區國安法》實施後,「維護國家安全」的 重要程度持續上升,最近半年再增加5.4個百分 點,並達20.5%的歷史高位。

圖10按政治傾向顯示市民選擇各條件的比例。不 論政治派別,受訪者對「維護國家安全」的重視 程度一致上升,達到各自的高位。其中,建制派 及其他非建制派對此選項的重視程度升幅最大, 前者上升11.8個百分點至42.5%,後者則上升8.5個 百分點至11.9%,分別佔兩個組別的第二及最後位 置。本輪建制派支持者視「維護國家安全」更為 重要,超越「維持高度自治」,後者本輪只佔第 三位。 Moderates' view on the favourable conditions for the continuation of 1C2S remains largely stable, with 'maintaining a high degree of autonomy' (65.3%) as the most important condition, followed by 'maintaining economic prosperity and stability' (63.6%). Both democrats and other non-establishment rate 'political system democratizing further' over 'maintaining a high degree of autonomy' to be the most important condition. For democrats, this is the first time the former (75.8%) overtakes the latter (75.6%). As for other non-establishment, the importance of both 'political system democratizing further' and 'maintaining a high degree of autonomy' dropped by 17.2 and 8 percentage points respectively, but still managed to maintain 71.2% and 64.9% support.

溫和派對不同條件的重視程度平穩,「維持高度 自治」(65.3%)繼續領先於「經濟維持繁榮穩 定」(63.6%),為溫和派認為最重要的條件。非 建制派認為「政制進一步民主化」比「維持高度 自治」重要,其中,民主派首次把「政制進一步 民主化」(75.8%)置於「維持高度自治」 (75.6%)之前,其他非建制派對兩個選項的重視 程度分別跌17.2及8個百分點,但仍有71.2%及 64.9%支持者認為重要。

Figure 9: Favourable conditions for the continuation of 1C2S beyond 2047 圖 9: 2047 年後繼續實行「一國兩制」的有利條件

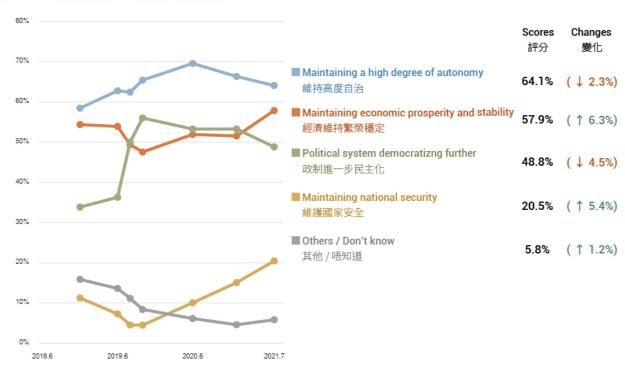
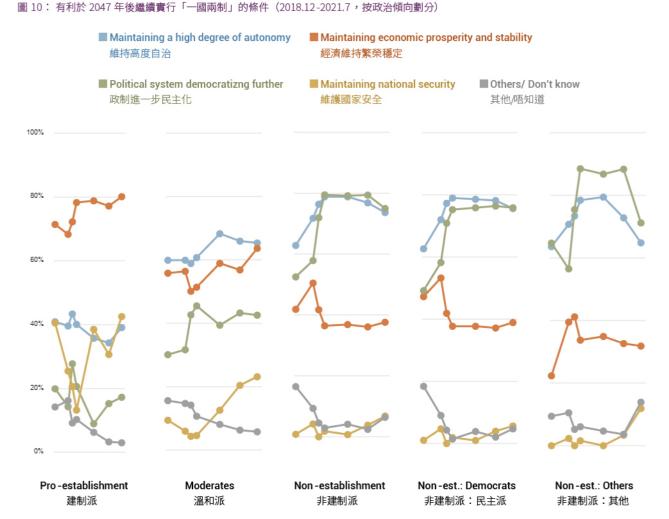


Figure 10: Favourable conditions for the continuation of 1C2S beyond 2047 (2018.12-2021.7 by political inclination)



#### 5 市民的身分認同 Citizens' Identity

We also surveyed how citizens identify themselves: whether as 'Hongkongers' or 'Chinese'. Figure 11 shows members of the public continue to identify themselves much more strongly as 'Hongkongers' than as 'Chinese'. The identities started to diverge after a period of parallel increases. In the latest round, the divide between these two identities eased as 'Hongkongers' and 'Chinese' respectively dropped by 0.4% and increased by 3% to 8.23 and 4.87.

The extent to which the public identified themselves as 'Hongkonger' and 'Chinese' can be better demonstrated by Figure 12, a graph mapping the mix of identities rated by respondents against time. On the 0 to 10 scale, the median is 5, which indicates a moderate level of identification. Ratings that are higher than the median indicate relatively strong identification; ratings that are lower than the median indicate relatively weak identification. These levels of strength can be grouped into four categories, dual identity (strong in both identifications), stronger identification as 'Hongkongers', stronger identification as 'Chinese', and others which include those without a dominant identity.

Earlier surveys showed a clear pattern that most Hong Kong citizens are typically cognizant of their dual identity as both 'Hongkongers' and 'Chinese'. This has gradually changed since mid-2019 and rebounded after hitting rock bottom in mid-2020. Though still below half of the population, the latest reading of 37.9% for 'dual identity' is nevertheless narrowing its gap with 'Hongkongers only', which maintains its dominant identity at 47.6%, despite a drop of 5.5 percentage points.

Identification as 'Chinese' may not be the same as patriotic, but identification as both 'Hongkongers' and 'Chinese' is a pre-requisite for 'devotion to China and Hong Kong'. The fact that the proportion of Hong Kong people with dual identity is continuing to rebound for two consecutive rounds after months of sharp decline since December 2018 suggests easing societal tension that would doubtlessly be beneficial to the implementation of 1C2S. We have not overlooked a possibility that current persistent international condemnation of China also has an impact on how Hongkongers look at themselves. The importance or otherwise of this will hopefully become clearer in time.

我們分別詢問市民對「香港人」及「中國人」身分的認同程度。圖11顯示市民對「香港人」的認同強於「中國人」,兩種身分認同同期增長後開始出現分歧。最新一輪結果顯示兩種身分分野縮小,「香港人」及「中國人」分別跌0.4%及升3%,錄得8.23分及4.87分。

圖12更清晰地呈現市民對兩種身分的認同程度。 按0分至10分的尺度,中位數為5,代表中等認 同。高於中位數代表較強認同,低於則代表較弱 認同。對兩種身分不同程度的認同可歸為四類: 雙重身分(對兩種身分均擁有較強認同),對 「香港人」身分有較強認同,對「中國人」身分 有較強認同,以及包括沒有主要身分的其他身分 認同。

過往調查中,大部分市民對「香港人」及「中國人」兩種身分均擁有較高認同,即雙重身分認同。情況於2019年中開始逆轉,並於2020年中錄得最低點後回升。最新調查中,雙重身分認同達37.9%,雖然仍然少於半數,但與「只有香港人」的份額差距縮窄。最近半年,「只有香港人」錄得5.5個百分點的降幅至47.6%,繼續成為市民的主流身分。

認同「中國人」身分未必等於「愛國」,不過同時認同「中國人」及「香港人」兩種身分卻是「愛國愛港」的先決條件。市民的雙重身分認同自2018年12月起急跌後,在過去兩輪調查中穩步回升,反映政治氛圍緩和無疑對落實「一國兩制」有幫助。現在,國際社會對中國口誅筆伐,對塑造香港人自我認同的作用仍不能忽略,其影響力需時瞭解。

Figure 11: Citizens' identity scores

圖 11:市民的身分認同評分

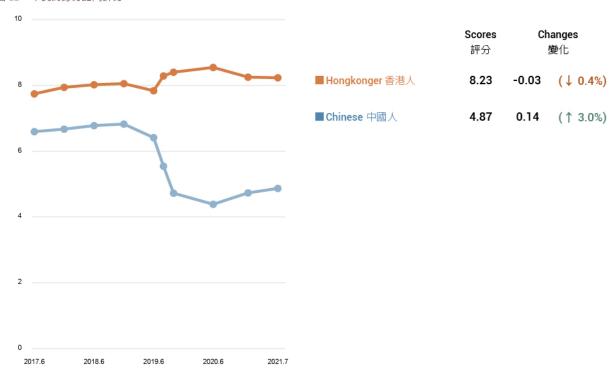
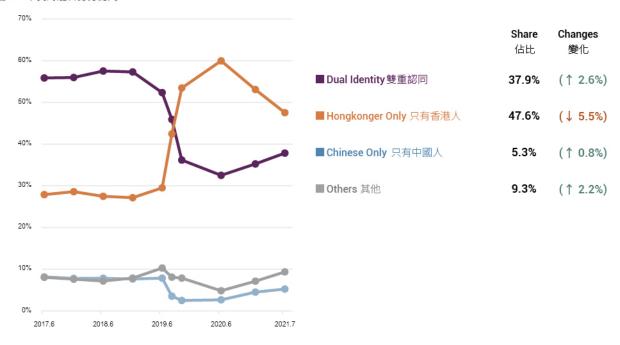


Figure 12: Citizens' identity mix

圖 12: 市民的混合身分認同



#### 5.1. Rank correlation

As a result of the declining dual identity of both 'Hongkongers' and 'Chinese', the correlation between the two stated identifications narrowed. The two identities used to mutually reinforce each other but currently, in recent rounds, though not statistically significant, there were weak signs of polarization. The negative correlation weakened slightly from -0.068 in January 2021 to -0.027 in July 2021. A stronger identification as 'Hongkonger' still accompanies a weaker one as 'Chinese' (see Table 8). The converse, on the other hand, also holds. The loss of mutuality of the two identities as 'Hongkonger' and 'Chinese' risks challenging the implementation of 1C2S in the near future and one must take careful note of this.

#### 5.1. 等級相關係數

隨著雙重身分認同大不如前,這兩種身分的相關性距離也隨之拉遠,由過去的相輔相成,變為現在的背馳傾向。最新的相關等級係數由2021年1月的-0.068輕微減弱至2021年7月的-0.027,但關係未達統計上顯著水平,說明「香港人」身分認同越強,「中國人」身分認同則越弱,反之亦然(見表 8)。「香港人」和「中國人」兩種身分認同的共存空間消失,將會是短期內實踐「一國兩制」的一大挑戰。

Table 8: Rank correlation of citizens' identity

表 8:市民的身分認同等級相關係數

	2019.8	2019.10	2020.6	2021.1	2021.7
Correlation相關係數	0.123	-0.022	-0.059	-0.068	-0.027
t-statistict t檢定統計	3.85	-0.69	-1.82	-2.26	-0.83



#### 6 熱門議題 Topical Issues

#### 6.1. Responsibility of conflicts

Table 9 shows allocation of blame between Mainland and Hong Kong when conflicts arise in the implementation of 1C2S. 40.2% of the public suggested that Mainland would be responsible while 18.5% assigned the responsibility to Hong Kong. Slightly more people attribute equal responsibility to both Hong Kong and Mainland, as July 2021 recorded a slight rise of 3.5 percentage points to 37.7%, though still markedly lower than that obtained even in midst of social movement in 2019. Nonetheless, the tendency of blaming only Mainland continued to subside in this round and the decrease in net value is also statistically significant.

#### 6.1. 出現矛盾的責任

表9顯示當實踐「一國兩制」出現矛盾時,應歸咎責任於內地或香港的比例。40.2%市民認為責任屬於內地,18.5%則認為責任屬於香港。選擇「一半半」的人數則稍微上升,2021年7月雖然上升3.5個百分點至37.7%,但仍比2019年社會運動初期明顯地低。儘管如此,將矛盾責任歸咎於內地的傾向在本輪調查維持跌勢,淨值的降幅達統計上顯著水平。

Table 9: Responsibility for conflicts

表 9:出現矛盾的責任

	2019.8	2019.10	2020.6	2021.1	2021.7
The Mainland 內地	34.0%	45.4%	50.1%	46.6%	40.2%
Hong Kong 香港	12.4%	11.5%	8.3%	14.2%	18.5%
Equally Responsible $- \!$	47.3%	36.4%	35.7%	34.2%	37.7%
Don't Know 唔知道	6.4%	6.7%	5.8%	5.0%	3.6%
Net Value 淨值	21.6%	33.8%	41.8%	32.4%	21.6%

Net Value: Percent who chose 'The Mainland' *less* percent who chose 'Hong Kong'. Higher (lower) value means greater responsibility lies with the Mainland ( Hong Kong)

淨值:認為屬「內地」的百分比減去認為屬「香港」的百分比,比例越高代表內地(香港)需負較大責任

#### 6.2. Emigration

Table 10 shows public's emigration plans. Those who have 'no plans' to emigrate remain the majority (58.4%), exceeding those who 'have plans' (32.9%). The two groups recorded slight drop of 2.7 and increase of 0.2 percentage points respectively while net value experienced an increase of 2.9 percentage points since January 2021 to -25.5%. None of these changes was statistically significant.

Figure 13 shows the percentages of groups of different political inclination who plan to emigrate (net emigration ratio). Expectedly, non-establishment supporters are more likely to have plans to emigrate than respondents of other political inclinations. The net emigration ratio of 10.0% consists of 4.9% and 24.8% produced by democrats and other non-establishment respectively, the first time the former subgroup produce positive net values.

#### 6.2. 移民海外

表10顯示市民的移民計劃。「無打算」移民的人數遠遠拋離「有打算」者,比例分別為58.4%和32.9%。比較2021年1月,兩者分別錄得2.7個百分點輕微跌幅及0.2個百分點升幅,淨值微升2.9個百分點,最新讀數為-25.5%。本輪所有變化均不達統計上顯著水平。

圖13顯示不同政治派別計劃移民的百分比(移民 淨值)。非建制派支持者比其他派別受訪者更有 計劃移民。該組別移民淨值為10.0%,其中民主派 為4.9%,其他非建制派為24.8%。民主派首次錄得 正數淨值。 Past studies have suggested that surveys often inaccurately amplify the number of individuals who seek to emigrate, for only a fraction of those who express interest in emigrating eventually do so in reality. Caution should therefore be exercised in interpreting the above findings, though they still provide valuable reference of the degree and extent of concern. The laxing of immigration requirements by 'popular' countries in response to the implementation of the Hong Kong National Security Law might also mean that plans to emigrate might not necessarily be induced by a lack of confidence in 1C2S or the socio-political situation of Hong Kong but simply seen as 'opportunities not to be missed' by those who had wanted to immigrate in any event.

根據過往研究,在民調中聲稱移民的人數,往往遠超真正移民的人數。民調結果雖有一定參考價值,但要小心解讀。溫和派聲稱無信心而計劃移民,一方面可能是對中央政府及建制派不滿,另一方面亦可能是擔心非建制派破壞社會穩定,例如覺得激進政治將會損害香港法治及經濟,兩種可能性皆不能排除。除此之外,就港區國安法的實施,多國以放寬移民門欄向北京施壓,不能排除有人視之為不能缺失的機會,並不一定對一國兩制失去信心的表現。

Table 10: Public's emigration plans

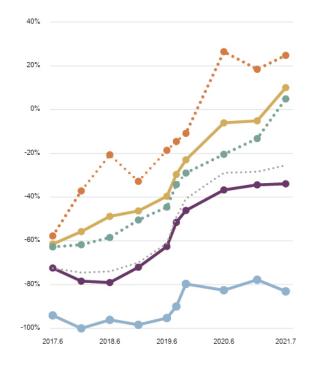
表 10: 市民移民海外的計劃

	2019.8	2019.10	2020.6	2021.1	2021.7
Have Plans 有打算	24.1%	28.6%	34.5%	32.7%	32.9%
No Plans 無打算	73.3%	69.3%	62.5%	61.1%	58.4%
Don't Know 唔知道	2.6%	2.1%	3.0%	6.2%	8.7%
Net Value 淨值	-49.2%	-40.7%	-28.0%	-28.4%	-25.5%

Net Value: Percent who chose 'Have Plans' less percent who chose 'No Plans' 淨值:認為「有打算」的百分比 減去認為「無打算」的百分比

Figure 13: Public's emigration plans (by political inclination)

圖 13:市民移民海外的計劃(按政治傾向劃分)



	<b>Share</b> 佔比	Changes 變化
■ Pro-establishment 建制派	-83.0%	(↓ 5.2%)
■ Moderates 溫和派	-33.9%	( ↑ 0.5%)
■ Non-establishment 非建制派	10.0%	( ↑ 15.1%)
図Non-est.: Democrats 非建制派:民主派	4.9%	( ↑ 18.2%)
☑Non-est.: Others 非建制派:其他	24.8%	( ↑ 6.3%)
☑ All Respondents 所有受訪者	-25.5%	( ↑ 2.9%)



#### 6.3. Willingness of living or working in other cities of Greater Bay Area (GBA)

Table 11 shows willingness of living or working in other cities of GBA. With the latest reading of only 7.0%, those who are 'willing' to live or work in GBA discontinued its trend of recovery observed in mid-2020. Those 'unwilling' rises slightly to 68.6%, leading to a decrease in net willingness to -61.6%. Nevertheless, there are no significant changes recorded.

Figure 14 highlights the willingness of living or working in other cities of GBA by age. Net willingness of youths (age 18 to 29) continue to drop, the new net value of -81.4% is the lowest among all age groups. It is also the record low for this age group.

#### 6.3. 前往粵港澳大灣區其他城市居住或 發展的意願

表11顯示前往大灣區居住或發展的意願。會考慮的受訪者從2020年中錄得的升勢中繼續回落,跌至7.0%,不會考慮的稍微上升至68.6%,導致淨值下降至-61.6%,但這些變化均不達統計上顯著水平。

圖14顯示不同年齡層北上大灣區居住或發展的 意願。青年(18至29歲)的意願淨值持續下降, 最新一輪的 -81.4% 不但是各年齡組別中最低,更 創18-29歲有記錄以來新低。

Table 11: Willingness of living or working in the GBA

表 11: 前往粵港澳大灣區居住或發展的意願

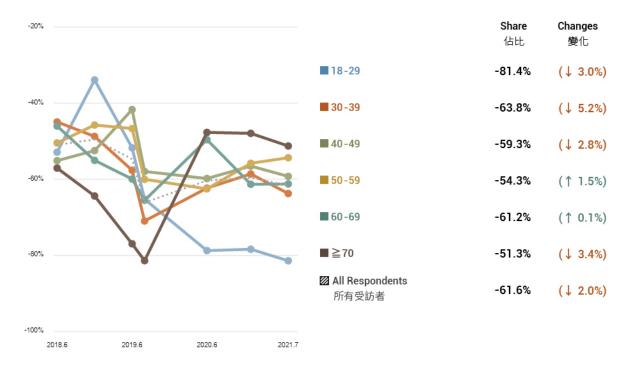
	2019.6	2019.8	2020.6	2021.1	2021.7
Willing 會考慮	12.9%	7.8%	11.9%	8.2%	7.0%
Not willing不會考慮	67.6%	73.9%	72.6%	67.8%	68.6%
Maybe / Don't Know或者 / 唔知道	19.6%	18.2%	15.6%	24.0%	24.4%
Net Value 淨值	-54.7%	-66.1%	-60.7%	-59.6%	-61.6%

Net Value: Percent who chose 'Willing' less Percent who chose 'Not willing'

淨值:「會考慮」的百分比 減去「不會考慮」的百分比

Figure 14: Willingness of living or working in GBA (by age)

圖 14: 前往粤港澳大灣區居住或發展的意願 (按年齡組別劃分)



#### 6.4. Confidence in the education system of Hong Kong

# Table 12 shows the level of confidence the public displayed in relation to the education system in Hong Kong. 74.8% of all respondents reported a lack of confidence in the education system of Hong Kong. More people felt that their level of confidence increased (14.6%) than remained unchanged (7.9%). The net value is -60.1%.

#### 6.4. 對香港教育制度的信心

表12顯示近年市民對香港教育制度的信心。74.8% 受訪者表示對教育制度沒有信心,14.6% 對此有信 心。信心沒有改變的有7.9%,淨值為-60.1%。

Table 12: Confidence in the educational system in Hong Kong

表 12:對香港教育制度的信心

	All respondents所有受訪者
Confident 有信心	14.6%
Not Confident無信心	74.8%
Unchanged 沒有改變	7.9%
Don't know / Difficult to say 唔知道 / 好難講	2.7%
Net Value 淨值	-60.1%

Net value: Percent who chose 'Confident' less percent who chose 'Not Confident'

淨值:「有信心」的百分比 減去「無信心」的百分比

#### 6.5. Satisfaction with the quality of civil service

Table 13 shows the level of satisfaction the respondents demonstrate in the work of civil servants. Over half of all respondents (52.7%) are dissatisfied with the quality of the work of civil servants. Only 26.2% are satisfied and 19.9% has no clear opinion about it. Together, the net value was recorded at -26.5%, showing that the work of civil servants are not well-received.

# Table 13: Level of satisfaction in the quality of the work of civil servants 表 13: 對公務員服務水平的滿意度

#### 6.5. 對公務員水平的滿意度

表13顯示受訪者對公務員服務水平的滿意度。過半受訪者(52.7%)表示不滿意,只有26.2%滿意,19.9%則表示一半半。總括而言,淨值為-26.5%,指出公務員服務水平未得到廣泛認同。

	All respondents 所有受訪者
Satisfied 滿意	26.2%
Dissatisfied 不滿意	52.7%
Half-half 一半半	19.9%
Don't know / Difficult to say 唔知道 / 好難講	1.2%
Net Value 淨值	-26.5%

Net value: Percent who chose 'Satisfied' less percent who chose 'Dissatisfied'

淨值:「滿意」的百分比 減去「不滿意」的百分比

#### 6.6. Recent political controversies

#### 6.6.1. Impact of the National Security Law on 1C2S

Table 14 shows the public's changing reception of the impact of the National Security Law on 1C2S. Over half of all respondents (58.4%) believed that the NSL has brought negative impact to 1C2S. There has been no obvious change after one year of the enactment of NSL. Nonetheless, those finding the law to have exerted positive impact made a record high of 21.4%, almost doubling from 12.3% recorded in June 2020. Less people thought that the law has no impact, dropping further from 21.1% in June 2020 to 13.5% in July 2021. Taken together, the net value is recorded at -37.0%, showing an increase of 12.2 percentage points in the span of one year.

#### 6.6. 近期政治爭議

#### 6.6.1. 《港區國安法》對「一國兩制」的影響

表14顯示受訪者評價《國安法》對「一國兩制」的影響。超過一半受訪者認為法例對「一國兩制」帶來負面影響(58.4%),維持六成上下,沒有太大改變。認為有正面影響攀至21.4%,差不多是去年立法時的12.3%的雙倍。認為沒有影響的比例有下降跡象,由2020年6月的21.1% 跌至本輪的13.5%。淨值為-37.0%,在過去一年間經歷12.2個百分點的升幅。

Table 14: Impact of the National Security Law on 1C2S

表 14: 訂立《港區國安法》對「一國兩制」的影響

	2020.6	2021.1	2021.7
Positive impact正面影響	12.3%	17.3%	21.4%
Negative impact負面影響	61.5%	62.3%	58.4%
No impact 沒有影響	21.1%	14.8%	13.5%
Don't know / Difficult to say 唔知道 / 好難講	5.1%	5.7%	6.7%
Net Value 淨值	-49.2%	-45.0%	-37.0%

Net value: Percent who chose 'Positive impact' less percent who chose 'Negative impact'

淨值:「正面影響」的百分比減去「負面影響」的百分比

#### 6.6.2. Impact of the electoral reform on 1C2S

Table 15 shows the perceived impact the structural changes to Election Committee and Legislative Council have on the implementation of 1C2S. Over half of all respondents (59.7%) found the changes to have negative impact while 21.5% holds the opposite view. 11.2% perceived the changes to have no impact. Together, the net value is recorded at -38.1%.

#### 6.6.2. 選舉制度改革對「一國兩制」的影響

表15顯示市民對修改選舉委員會及立法會選舉制度對「一國兩制」的影響的評價。過半受訪者(59.7%)認為修改選舉制度有負面影響,21.5%持相反意見,11.2%則認為此舉沒有影響。淨值為-38.1%。

Table 15: Impact of changes to Election Committee and Legislative Council on 1C2S

表 15: 修改選舉委員會及立法會選舉制度將會對「一國兩制」的影響

	All respondents 所有受訪者
Positive impact正面影響	21.5%
Negative impact負面影響	59.7%
No impact 沒有影響	11.2%
Don't know / Difficult to say 唔知道/ 好難講	7.6%
Net Value 淨值	-38.1%

Net value: Percent who chose 'Positive impact' less percent who chose 'Negative impact'

淨值:「正面影響」的百分比 減去「負面影響」的百分比



#### 6.6.3. Generation gap and political divide

Table 16 and Table 17 are summaries of the net values generated from three key topical questions about recent political controversies, further categorized by age and political inclination.

There were clear, unambiguous patterns in how respondents of different age and political inclinations react to these controversial events. Generally, the net values increase with age and decrease as the political inclination move away from the pro-establishment end. For instance, 18-29 age group and the non-establishment supporters were the most critical about the political controversies while pro-establishment supporters tend to produce the highest net values, often the only positive net values in any category.

Nevertheless, the stance of moderates on these topical issues are of value as reference points signalling the general public's opinions towards the said issues. For example, moderates' reception of Central government's assistance to contain pandemic was positive and of public servants' pledge of allegiance to the Basic Law was mixed, foregrounding the extremity of the views displayed by groups of other political inclinations. As for this round, according to the net values produced by the moderates, the National Security Law was still negatively received (-29.2%), as with the improvement of electoral system (-28.9%).

#### 6.6.3. 代溝及政治鴻溝

表16及表17總結受訪者就三個近期政治爭議產生 的淨值,並按年齡及政治傾向劃分。

受訪者的年齡及政治傾向對於爭議事件的取態有清晰的關係。一般而言,淨值隨年齡增長而上升,並隨政治傾向偏離建制派而下降。例如,18-29歲組別及非建制派支持者對政治爭議評價尤其負面。建制派支持者通常給予最高淨值,有時更是所有組別中唯一的正值。

溫和派對這些熱門議題的意見往往是重要的參照點,透露社會大部分市民對事件的觀感。溫和派對中央提供的抗疫支援表示歡迎,對公職人員宣誓效忠的看法持平,突顯溫和派對政治事件的評價理性。至於本輪,溫和派對《港區國安法》(-29.2%)及修改選舉制度的評價處於負面(-28.9%)。

Table 16: Generation gap over recent political controversies (by age)

表 16:對近期政治爭議之代溝(按年齡劃分)

	Central government's assistance to contain pandemic 中央提供醫療支援及協助 (2021.1)	Public officers' pledge of allegiance 公職人員宣誓 (2021.1)	National Security Law 《港區國安法》 (2021.7)	Improvement of Electoral System 完善選舉制度 (2021.7)
18-29	-17.4%	-36.0%	-73.5%	-73.5%
30-39	15.3%	-29.2%	-56.4%	-52.5%
40-49	-0.1%	-28.6%	-39.2%	-45.9%
50-59	9.8%	-27.8%	-29.9%	-25.8%
60-69	15.6%	-13.3%	-12.6%	-18.1%
≧70	22.2%	-3.1%	-12.6%	-14.5%
All respondents 所有受訪者	7.7%	-23.3%	-37.0%	-38.1%

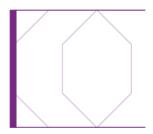
Table 17: Political divide over recent political controversies (by political inclination)

表 17: 對近期政治爭議之政治鴻溝(按政治傾向劃分)

	Central government's assistance to contain pandemic	Public officers' pledge of allegiance	National Security Law	Improvement of Electoral System
	中央提供醫療支援及協助 (2021.1)	公職人員宣誓 (2021.1)	《港區國安法》 (2021.7)	完善選舉制度 (2021.7)
Pro-establishment建制派	61.8%	58.8%	56.3%	52.8%
Moderates 溫和派	27.9%	-1.8%	-29.2%	-28.9%
Non-establishment非建制派	-36.4%	-76.9%	-84.9%	-87.8%
Non-est.: Democrats 非建制派:民主派	-30.9%	-76.6%	-84.0%	-88.0%
Non-est.: Others 非建制派:其他	-51.8%	-77.8%	-87.5%	-87.0%
All respondents 所有受訪者	7.7%	-23.3%	-37.0%	-38.1%







## 附錄 **Appendices**



#### 編製方法 Methodology

#### Construction of 1C2S Index 1.

To understand the implementation of 1C2S comprehensively, we measure how the public in HK evaluate the current situation from various dimensions. International perception of freedom and democracy in HK is also pivotal as these are core components of 1C2S. Hence, we give an equal weight to scores obtained from the following two indices:

- Index (A): Public Opinion: Hong Kong public's evaluation of 1C2S compiled from telephone polls on 12 dimensions of 1C2S; and
- Index (B): International Perception: Compiled from relevant indices produced by international think tanks on various dimensions of freedom and democracy in HK.

#### 編製「一國兩制」指數 1.

為綜合掌握香港實踐「一國兩制」的情況,我們 從不同範疇衡量市民對香港現狀的評價。自由與 民主是「一國兩制」的重要元素,國際社會對香 港自由民主程度的觀感亦尤其重要。因此,「一 國兩制」指數為以下兩項評分給予相同比重:

- 指數(A):民意調查—香港市民在電話調查 中對十二個「一國兩制」範疇的評分;及
- 指數(B):國際評價-國際智庫對香港的自 由民主水平所編製的評分。



#### 1.1. Index (A): Public Opinion

Index (A) is the average of sub-scores obtained from different dimensions of 1C2S in our telephone polls conducted by the Hong Kong Institute of Asia-Pacific Studies of the Chinese University of Hong Kong. Each round, about 1,000 individuals will be randomly sampled by the CATI (computer assisted telephone interviewing) system, all aged 18 or above; all of them spoke either Cantonese or Mandarin. To better represent the distribution of the Hong Kong population, all data were weighted by the proportion of gender and age of people aged 18 or above (excluding foreign domestic helpers) according to the Census and Statistics Department.

The telephone survey uses a dual-frame sampling design since the July 2021 round. It randomly selects phone numbers from a combined sample of residential landline and mobile phone numbers. Given many individuals may own both landline and mobile phone numbers, steps were added in the weighting method to avoid estimation inaccuracy caused by double-counting or other unknown factors. Table 18 shows the statistical and scientific standards of each survey.

In the telephone polls, many questions employed 1 to 7 as the rating scale in the survey process, with 4 as the median. This scale, which is known as the Likert scale, is commonly adopted for psychological assessments. This scale was used instead of a 0 to 10 scale as the latter is deemed too finely graduated and cumbersome for respondents to choose from. However, to better conform to existing indices – which tend to be expressed on a 0 to 10 scale (with 5 as the median) – we converted the results mathematically into the popular 0 to 10 scale.

For a more extensive report on the survey results, please see *Survey Results: Frequency and Percentage Distribution*, which can be found on the webpage of Path of Democracy (http://www.pathofdemocracy.hk/1c2s-index/).

#### 1.1. 指數 (A): 民意調查

指數(A)是香港市民對「一國兩制」的綜合評價,由不同範疇構成。我們委託香港中文大學香港亞太研究所進行電話調查,每輪以電腦輔助電話訪問系統,隨機抽樣,訪問大約1,000人,全部皆屬18歲或以上,能操廣東話或普通話。進行分析時,數據依照香港政府統計處的18歲或以上性別及年齡分佈(扣除外籍家庭傭工)作加權處理,以更符合香港人口分佈的真實情況。

電話調查自2021年6月起採用雙框抽樣設計,從家居固網電話號碼及流動電話號碼的結合樣本群中隨機抽取號碼。由於大部分人同時擁有家居固網電話號碼及流動電話號碼,樣本通過加權方法調整,為免重複計算或其他不明原因帶來的估算偏差。表 18顯示每次調查的統計和科學標準。

電話調查中,多數題目採用1至7分的評分尺度,以4分為中位數。這是心理學常用的科學尺度,稱為李克特量尺(Likert scale)。現時編製指數常採用0至10分的尺度,其實分類過於細緻,令市民難以選擇。不過為了與現行指數匹配,是以我們利用數學方法將調查結果轉換成為常見的0至10分尺度(以5分為中位數)。

如欲取得電話調查的詳細結果,請參閱民主思路網頁上的「調查結果:頻數及百分比分佈」(http://www.pathofdemocracy.hk/1c2s-index/)。

		Numb	er of Respor	ndents	Respons	e Rates		
Round	Survey Period		受訪人數		回照	藝率	Confidence Level	Sampling Error
輪次	調查日期	Landline 固網	Mobile 手機	Total 總數	Landline 固網	Mobile 手機	置信水平	抽樣誤差
2017.06	5.23 - 6.3	1,002	-	1,002	36.8%	-	95.0%	±3.1%
2017.12	12.11 - 12.23	1,006	-	1,006	39.5%	-	95.0%	±3.09%
2018.06	5.23 - 6.2	1,004	-	1,004	37.2%	-	95.0%	±3.09%
2018.12	12.3 - 12.12	1,001	-	1,001	38.8%	_	95.0%	±3.1%
2019.06	5.27 - 6.6	1,002	-	1,002	38.0%	-	95.0%	±3.1%
2019.08	7.24 - 8.7	1,001	-	1,001	39.5%	-	95.0%	±3.1%
2019.10	10.17 - 10.31	1,002	-	1,002	38.0%	-	95.0%	±3.09%
2019.12	12.10 - 12.20	1,000	-	1,000	40.8%	-	95.0%	±3.1%
2020.06	6.9 - 6.29	1,001	-	1,001	39.5%	_	95.0%	±3.1%
2021.01	12.22 - 1.13	1,002	-	1,002	32.5%	-	95.0%	±3.1%
2021.07	6.21 - 7.9	498	503	1,001	30.9%	31.4%	95.0%	±3.1%

#### 1.1.1. Alternative methods of constructing Index (A)

Conceptually, there are different ways to compute the multiple dimensions into a composite score as Index (A). We have chosen the method of simple average, which is the most common method employed in constructing indices. Its strength lies in its parsimony and accessibility. It may not be the best method as it assigns equal weighting to all items (i.e. assuming equal importance for all items). Here, we compare the method of simple average to two alternatives methods that are more sophisticated, namely, principal component analysis and factor analysis.

Principal component analysis is a statistical method that processes and simplifies data; it is the standard scientific method employed in constructing indices. Through statistical analysis, it extracts the data set's principal components and identifies their weights in a way that best explains the variations across the data.

Factor analysis extracts common factors from the data, and it shares a similar methodology with Principal component analysis. Its strength lies in its ability to identify hidden and representative factors amongst the many variables and group variables of a similar nature and converting the same into a common factor, which reduces the total number of variables.

#### 1.1.1. 指數(A)的不同編製方式

指數(A)是香港市民對「一國兩制」的綜合評價,由多個範疇構成。要將多個範疇計算為指數(A)的綜合評分,在概念上有不同方式。我們採用了編製指數時最常見的簡單平均數,優點是簡單及容易明白。理論上,不同範疇可能有不同比重,簡單平均數未必是最好的編製方式,是以我們考慮兩種更複雜的方式與簡單平均數比較,分別為主成分分析與因子分析。

主成分分析是一種分析和簡化數據的統計技術, 是以科學化方式編製指數的標準方法,其方法是 通過對數據進行特徵分解,以得出數據的主要成 分與它們的權值,優點是揭露數據的內部結構, 從而更好解釋數據的變化。

因子分析是從數據中提取公因子的統計方法,技術與主成分分析類似,其優點是可以在多項變量中找出隱藏及具有代表性的因子,將相同本質的變量歸入一個因子,從而減少變量的數目。

Table 19 shows the negligible difference in scores acquired by the three methods. The results of all three methods are highly similar, largely due to the clustered nature of the public's assessments of the multiple dimensions (cf. the distributions of dimensions are highly correlated), which causes the core components or sub-items across all three methods to be highly similar. Both alternative methods involve complex calculations, which render the methods inaccessible to laymen. Given the similarity of the results from all three methods, we adopted the simplest and most commonly used method – i.e. the average of the dimensions – in constructing Index (A).

表19顯示三種方式所得出的結果,只有微不足道的差異。三種方式的結果十分接近,相信是由於市民對多個範疇的評分相當近似(即各個範疇的分佈高度相關),是以主要成分或主要因子都十分接近。主成分分析及因子分析牽涉複雜計算,一般人難以明白。因為三種方式編製指數結果十分接近,我們選取最簡單常用的方法,即簡單平均數來編製「一國兩制」指數。

Table 19: Index (A): Public Opinion computed by different methods

表 19: 指數(A): 民意調查以不同方式編制

Round 輪次	Simple Average 簡單平均數	Principal Component Analysis 主成分分析	Factor Analysis 因子分析
2017.06	4.84	4.83	4.82
2017.12	4.98	4.99	4.94
2018.06	5.05	5.06	5.00
2018.12	4.84	4.84	4.76
2019.06	4.58	4.58	4.53
2019.08	3.98	3.96	3.91
2019.10	3.26	3.23	3.18
2019.12	3.53	3.51	3.47
2020.06	3.39	3.37	3.30
2021.01	3.37	3.53	3.48
2021.07	3.62	3.55	3.50

#### 1.2. Index (B): International Perception

Index (B) is the average of 3 indices, namely, the Economic Freedom Index and Personal Freedom Index of CATO-Fraser Institutes, and the Democracy Index of the Economic Intelligence Unit. The average of the Economic Freedom Index and Personal Freedom Index is known as the Human Freedom Index, which is the most comprehensive index of freedom available

As the Human Freedom Index covers a very large number of countries, the latest Economic Freedom Index and Personal Freedom Index only reflect conditions two years ago. These two indices are updated based on the latest comparable indicators from other international think tanks. When updating is not possible, the relevant figures are assumed to be unchanged.

#### 1.2. 指數(B):國際評價

指數(B)是「經濟自由指數」、「個人自由指數」及「民主指數」三項指數的平均分。首兩項指數由卡托研究所(Cato Institute)及菲沙研究所(Fraser Institute)編製,第三項指數則由經濟學人智庫編製。「經濟自由指數」和「個人自由指數」的平均分被稱為「人類自由指數」,是目前衡量自由的指數中最全面的一種。

由於「人類自由指數」覆蓋大量國家,「經濟自由指數」及「個人自由指數」只反映兩年前的狀況。這兩項指數將按相應的國際指標更新,如未能更新,我們假設相關數值不變。

#### 1.2.1. Comparable indicators

To obtain the updated scores of Economic Freedom Index and Personal Freedom Index, we first calculate the percentage changes in the comparable indicators for the respective years; then we apply these changes to the latest Economic Freedom Index and Personal Freedom Index of CATO-Fraser. The comparable indicators for the Economic Freedom Index and each of the 7 components of the Personal Freedom Index are listed in Table 20.

#### 1.2.1. 相應指標

為更新「經濟自由指數」及「個人自由指數」, 我們計算相應指標於相關年份的百分比變化,並 將之套用於卡托研究所及菲沙研究所的「經濟自 由指數」及「個人自由指數」。表20顯示「經濟 自由指數」及「個人自由指數」中七個項目的相 應指標。

Table 20: Comparable indicators of Index (B): International Perception

表 20: 指數 (B) : 國際評價之相應指標

Indicators指標	Comparable Indicators 相應指標
Economic Freedom Index	The Heritage Foundation's Index of Economic Freedom
經濟自由指數	傳統基金會經濟自由指數
Rule of Law	World Justice Project's Rule of Law Index - 'Procedural Justice', 'Civil Justice' and 'Criminal Justice'
法治	世界正義項目「法治指數」—「程序公義」、「民事司法」及「刑事司法」
Security and Safety	World Justice Project's Rule of Law Index – 'Order and Security'
安全	世界正義項目「法治指數」—「秩序與治安」
Movement 遷徙自由	Freedom House's Freedom in the World Report - 'G1. Do individuals enjoy freedom of movement, including the ability to change their place of residence, employment, or education?' 自由之家「世界自由調查報告」—「G1. 人民是否享有遷徙自由,包括改變居所、就業或教育的能力?」
Religion 宗教自由	Freedom House's Freedom in the World Report - 'D2. Are individuals free to practice and express their religious faith or nonbelief in public and private?' 自由之家「世界自由調查報告」—「D2. 人民能否在公開或私人場合自由地實踐和表達宗教信仰或非信仰?」
Association, Assembly, & Civil Society	Freedom House's Freedom in the World Report – 'E. Associational and Organizational Rights'
結社、集會及公民社會自由	自由之家「世界自由調查報告」—「E. 結社及組織權利」
Expression and Information	Reporters Without Borders' World Press Freedom Index
言論自由	無國界記者「全球新聞自由指數」
Identity and Relationships 性別認同及關係	Freedom House's Freedom in the World Report – 'G3. Do individuals enjoy personal social freedoms, including choice of marriage partner and size of family, protection from domestic violence, and control over appearance?' 自由之家「世界自由調查報告」-「G3. 人民是否享有個人社交自由,包括選擇婚姻對象及家庭人數、免受居所暴力及自主改變外貌?」

#### 1.3. Refinement and Estimation

After the first survey in June 2017, our questionnaire for Index (A) was refined in the December 2017 and January 2021 rounds to increase validity. These refinements include change of wordings and addition and removal of questions, affecting four of the twelve questions used to compute Index (A). Scores of the other eight questions are directly comparable as they are identical in all surveys.

To adjust for the time lag of international indices, scores of Index (B) in previous reports were estimated with data available then. In every report, scores will be revised with latest updates from international think tanks.

For the convenience of comparison, Table 21 shows Index (A) computed by the identical eight questions and the refined questionnaire, the estimated and revised scores of Index (B), and the 1C2S Index constructed by the combination of them. The table also shows the differences in scores due to question change and updating.

#### 1.3. 修訂與估算

於2017年6月第一輪調查後,電話調查於2017年12月 及2021年1月經歷兩次修訂,以提高調查的適 用性。修訂包括更改調查問題的用字,增加或移 除問題,涉及指數(A)十二範疇中的四個範疇, 另外八條問題在所有調查均相同,評分可以直接 比較。

指數 (B) 的評分乃基於當時可得的數據而作出, 以修正國際指數的滯後。該估算值將於其後的報 告按最新的國際指數更新。

以便比較,表21顯示八個相同範疇及已修訂問數 (A)、估算及修訂的指數(B),以及由它們所 編製的「一國兩制」指數。結果顯示評分因為題 目修訂和數據更新而帶來的差異。

Table 21: Refinement and estimation of 1C2S Index

表 21: 「一國兩制」指數之修訂與估算

Round 輪次 ·	Index (A): Public Opinion 指數(A):民意調查		Index (B): International Perception 指數(B):國際評價		<b>1C2S Index</b> 「一國兩制」指數		Difference
Hound Harry	Identical 相同	Refined 修訂	Estimates 估算	Revised 修訂	<b>Maximum</b> 最大值	Identical 相同	差距
2017.06	4.88	4.84	8.04	7.94	6.46	6.41	0.03
2017.12	4.84	4.98	8.04	7.94	6.51	6.39	0.10
2018.06	4.90	5.05	8.01	7.87	6.53	6.39	0.12
2018.12	4.70	4.84	7.89	7.87	6.37	6.29	0.08
2019.06	4.43	4.58	7.87	7.74	6.23	6.09	0.14
2019.08	3.81	3.98	7.87	7.74	5.93	5.84	0.09
2019.10	3.13	3.26	7.87	7.74	5.57	5.50	0.06
2019.12	3.40	3.53	7.87	7.74	5.70	5.57	0.13
2020.06	3.26	3.39	7.76	7.58	5.58	5.42	0.15
2021.01	3.24	3.62	7.59	7.58	5.61	5.41	0.19
2021.07	3.24	3.62	7.58	7.58	5.60	5.40	0.20

#### 2. Construction of 1C2S Mass Media Index

Our sample consists of around 162,000 newspaper articles related to 1C2S from 21 local daily newspapers that were published between April 1998 and June 2021. The number of articles processed in each newspaper is listed in Table 22.

Each article undergoes a 'tokenisation' process whereby articles are segmented into words/phrases (often referred to as tokens) via a computer algorithm. In the sample period of approximately 23 years, our text corpus contains around 79 million tokens. From this massive dataset, common words that are inconsequential to the understanding of news articles, such as '我', '你', '的', are first removed before further analysis.

To determine the sentiment of an article, words are categorised as: 'positive', 'negative' or 'neutral' (not a sentiment word). A positive word is then given a sentiment score of 1, a negative word is assigned a score of -1, and a neutral word has a score of 0. The classification scheme adopted is given by the sentiment dictionary for Traditional Chinese words developed by the Natural Language Processing and Sentiment Analysis Lab, Institute of Information Science, Academia Sinica. The number of words processed each year since 1998 is given in Table 23.

The positive, or negative as the case may be, sentiment of a paragraph is quantified via a count of the number of positive (negative) words it contains, adjusted by its total word count. The sentiment score of an article is calculated as the average difference between positive and negative proportions among constituent paragraphs. 1C2S MMI is derived as an index that captures the weighted average sentiment score among newspapers in a given month. The base month is set in July 2017 to indicate 20 years after the establishment of Hong Kong Special Administrative Region. Since there are substantial differences in the number of news articles published by newspapers during the sample period, the article count of a newspaper alone could be an insufficient representation of its influence and perceived importance among readers. To ensure 1C2S MMI's reliability, the sentiment score for each newspaper is further weighted by public perceptions of its credibility based on the survey 'Public Evaluation on Media Credibility' conducted by Centre for Communication Research, The Chinese University of Hong Kong.

#### 2. 編製「一國兩制」輿情指數

「一國兩制」與情指數的資料由新聞文章組成, 包含1998年4月至2021年6月期間,來自本地21份 日報關於「一國兩制」,約162,000份報導及評 論。表22顯示每份報章所運算的文章數量。

我們就每篇文章進行「分詞」(tokenization), 通過電腦運算將文章分解成語例(token,為單詞 或短語)。我們利用約23年的資料組成語料庫, 包含大約7,900萬個語例。 在進一步分析這個魔大 的語料庫之前,我們首先剔除無助理解文章的常 見單詞(如「我」、「你」、「的」)。

為了辨別一篇文章的情緒,我們採用由台灣中央研究院資訊科學研究所自然語言處理與情感分析實驗室開發的繁體中文情緒字典 ,把語例分類為「正面」、「中立」(非情感詞)或「負面」。「正面」的語例得1分,「中立」得0分,「負面」得-1分。表23顯示各年所運算的文字數量。

我們計算每段落所包含的正、負面語例數量,每篇文章的情緒評分則為各個段落經字數調整後的淨值。「一國兩制」與情指數即為當月所有文章的平均情緒評分,基數月訂為2017年7月,基數為100,以標誌回歸20周年的情況。由於不同報章所刊登的文章數目有明顯差別,因此,只考慮文章數目不足以呈現不同報章對讀者觀感的影響力。為確保可靠性,本指數將採用由香港中文大學傳播與民意調查中心「市民對傳媒公信力的評分」為每份報章的情緒評分加權。

Table 22: News sources of 1C2S MMI

表 22: 「一國兩制」 輿情指數之報章來源

Newspaper 報章	Number of Articles 文章篇數
A Daily A 報	81
am730	2,659
Apple Daily 蘋果日報	11,083
Headline Daily 頭條日報	1,416
Hong Kong Commercial Daily 香港商報	15,865
Hong Kong Daily News 新報	4,476
Hong Kong Economic Journal 信報	11,485
Hong Kong Economic Times 經濟日報	4,556
Hong Kong Globe 公正報	194
Lion Rock Daily 香港仔	392
Metro Daily都市日報	1,436
Ming Pao Daily News 明報	13,492
Oriental Daily News 東方日報	9,477
Sharp Daily 爽報	152
Sing Pao 成報	5,334
Sing Tao Daily 星島日報	9,180
Sky Post 晴報	638
Ta Kung Pao 大公報	32,153
The Sun 太陽報	4,828
Tin Tin Daily News 天天日報	452
Wen Wei Po 文匯報	32,994
Total 總數	162,343

Table 23: Number of words processed

表 23. 虚理咨判字數

表 23: 處理資料字數	
Year	Number of Words
年份	字數
1998	669,676
1999	2,498,254
2000	2,320,584
2001	2,640,690
2002	2,545,546
2003	2,713,403
2004	4,801,621
2005	2,874,994
2006	1,879,834
2007	2,797,512
2008	1,446,629
2009	1,815,839
2010	1,880,198
2011	2,040,824
2012	3,441,893
2013	3,312,415
2014	5,386,835
2015	4,411,567
2016	5,590,354
2017	6,204,647
2018	4,932,978
2019	7,086,984
2020	5,901,439
2021 H1	2,957,488
Total 總數	82,057,434

#### 2.1. Accuracy test

1C2S MMI is underpinned by a lexicon-based model which treats each paragraph as a bag of words and as a result may detract from the overall context of the paragraph. For instance, the model may not be able to detect nuances in writing such as sarcasm and therefore do not understand fully the true meaning of a paragraph. Nonetheless, whilst this may be a limitation when analysing publications such as novels, this is less of an issue for news articles which are written in a more direct manner.

#### 2.1. 準確度覆查

「一國兩制」與情指數是建基於由詞彙組成的模型。此模型視每段落為一籃子的詞語,從而抽離整篇文章的脈絡。其中一個效果是可能無法辨認到如諷刺等寫作手法,因而不能完全理解每一個段落的真正含義。這個局限在分析小說及文學作品時可能較為明顯,但對於寫作手法較直接的新聞文章而言,相信不是一個大問題。

To ascertain the accuracy of this lexicon-based method in identifying the sentiment of a paragraph, two researchers manually categorised around 18,000 paragraphs randomly drawn from the text corpus into 'positive', 'neutral' and 'negative' categories. References to which newspaper a paragraph came from were removed before the paragraph was presented to our team of researchers. If these two researchers classified a paragraph differently, a third researcher would be asked to make the final verdict.

In this accuracy test, sentiment labels given by the first two researchers coincided with each other around 80% of the time. As shown in Table 24, 2,363 paragraphs were considered as 'positive' whereas 1,472 were classified as 'negative.'

Sentiment scores for each group of paragraphs were then derived by the same lexicon model used in the construction of 1C2S MMI. In our model, the sentiment of a paragraph is assumed to be encapsulated in the proportion of positive words minus that of negative words - the higher the sentiment score, the more positive a paragraph is expected to be.

Table 24 also shows the average sentiment scores of these 3 groups of paragraphs. In particular, 'positive' paragraphs identified by the team of researchers have an average sentiment score of 21.76%, around 7.5 times as high as 'negative' paragraphs. In addition, the differences in average sentiment scores among these 3 groups are tested to be statistically significant via a multivariate regression model, suggesting that results given by the lexicon model are largely in line with judgements made by human researchers.

為證實此詞彙模型辨別情緒的準確度,我們兩位研究員從語料庫中隨機抽取了約18,000個段落,親自分類為「正面」、「負面」和「中立」,再與詞彙模型比較。各段落的出處在給予研究員之前已經被隱藏。假如兩名研究員對同一個段落的情緒判斷有異,第三名研究員將會重讀並作出判斷。是次覆查中,兩名研究員在大約八成的情況下均能作出相同的判斷。

我們利用與「一國兩制」與情指數相同的詞彙模型為此等段落的情緒評分。在模型中,情緒評分為正、負面詞語量的淨值,所以評分愈高代表情緒愈正面。表24顯示利用詞彙模型計算的情緒評分。2,363及1,472個段落分別被判決為「正面」及「負面」。被研究員判斷為「正面」的段落,情緒評分為21.76%,約為「負面」段落的7.5倍。再者,利用多變量回歸分析,三個類別的情緒評分發現具統計學上的顯著差異,證實詞彙模型的計算結果與我們研究員的人手分類一致。

Table 24: Results of accuracy test 表 24: 覆杳結果

	Positive 正面	Neutral 中立	Negative 負面
No. of Paragraphs Classified by Human Researchers 真人研究員對情緒的判斷	2,363	14,202	1,472
Net Value 情緒淨值	21.76%	14.42%	2.92%

# ■ 重大事件一覽 ■ List of significant events

#### 2017 H2

7.14 Disqualification of four LegCo members by the High Court. 高等法院裁定四名立法會議員宣誓無效。 8.15 & Prison sentences of the '13+3' protestors in relation to the 反新界東北發展示威者與強行進入公民廣場示威的 8.17 demonstration against the Northeast Territories Development 「13+3」示威者被判入獄。上訴法庭更提出更嚴厲的量 and the Civic Square occupation. The court also gave new 刑準則予未來涉及暴力的大型非法集會案件。 tougher sentence guidelines for future cases of large-scale unlawful assemblies involving violence. 9.1 China's enactment of the national anthem law. 中國訂立國歌法。 10.19 Emphasis on 'overall jurisdiction over Hong Kong' in the Work 中共十九大工作報告強調對香港的「全面管治權」。 Report of 19th CPC National Congress. 立法會通過關於高鐵「一地兩檢」安排的無約束力議 11.15 LegCo's passage of the non-binding motion on the high-speed rail co-location arrangement. 案。 12.15 Amendment of the Rules of Procedure of the Legislative Council 立法會透過修訂議事規則限制拉布。 to restrict filibustering.

#### 2018 H1

1.27 Agnes Chow Ting, a pro-democracy activist, was banned from running for the LegCo by-election on the grounds that her party, Demosisto, had called for 'self-determination'.

有意代表香港眾志出撰立法會港島區補撰的周庭、被裁 定參選提名無效。

The case of the three student leaders was brought to the Court of 2.6 Final Appeal (CFA). The CFA overturned the prison sentences on the trio on a technicality but ruled that the Court of Appeal was entitled to give tough sentence guidelines for future cases of large-scale unlawful assemblies involving violence.

「雙學三子」案件上訴至終審法院。終審法院以技術因 素推翻監禁判決,但認可上訴法院對未來涉及暴力的大 規模非法集會案件所提出的更嚴厲判刑指引。

6.11 Edward Leung, leader of Hong Kong Indigenous, was given a prison sentence of 6 years on June 11 for his role in the civil unrest in Mongkok.

本土民主前線領袖梁天琦參與旺角騷亂,被判處6年監 禁。

LegCo's passage of the co-location bill on June 14 after 6.14 protracted debates.

立法會經過漫長辯論後,通過「一地兩檢」議案。

8.14	Andy Chan Ho Tin, convenor of the pro-independence Hong Kong National Party, delivered a speech hosted by the Foreign Correspondents' Club.	支持「港獨」的香港民族黨召集人陳浩天受邀於香港外國記者會演講。
9.24	The Hong Kong National Party was banned on national security grounds.	基於維護國家安全,香港民族黨被禁止運作。
10.5	Visa renewal of Victor Mallet, the Financial Times' Asia News Editor and Vice President of the Foreign Correspondents' Club (FCC), was rejected. He had chaired a talk by pro-independence activist Andy Chan Ho Tin, convenor of the Hong Kong National Party, at the FCC.	《金融時報》亞洲總編輯、香港外國記者會副主席馬凱的簽證續期遭拒。他早期主持主張「港獨」的香港民族黨召集人陳浩天於香港外國記者會的演講。
10.12	Lau Siu Lai, a Democrat who had called for Hong Kong self-determination, was barred from running the LegCo by-election.	提倡香港「自決」的民主派候選人劉小麗於立法會補選中的被裁定提名無效。
10.23	Opening of the Hong Kong-Zhuhai-Macau Bridge.	港珠澳大橋啟用。
11.14	The United States-China Economic and Security Review Commission recommended the US Congress to assess its export policy on technology with regard to Hong Kong's status as a separate customs area.	美中經濟與安全審查委員會向美國國會建議,重新審視高科技出口政策,評估香港的獨立關稅區地位。
11.14	Commission recommended the US Congress to assess its export policy on technology with regard to Hong Kong's status as a	
	Commission recommended the US Congress to assess its export policy on technology with regard to Hong Kong's status as a separate customs area.	高科技出口政策,評估香港的獨立關稅區地位。

1.2 Xi Jinping marked the 40th anniversary of the 'Message to 習近平於《告台灣同胞書》發表40周年紀念會指「一國 Compatriots' by upholding 1C2S as the best solution to 兩制」為兩岸統一的最佳方式。 cross-strait unification. 2.18 Promulgation of the Outline Development Plan for the 《粵港澳大灣區發展規劃網要》發布。 Guangdong-Hong Kong-Macao Greater Bay Area. 3.22 Kaohsiung mayor and Taiwan leader hopeful Han Kuo-yu's visited 高雄市市長、台灣總統熱門候選人韓國瑜拜訪香港中聯 the central government's Liaison Office in Hong Kong. 辦。 4.9 The 'Umbrella nine' (key leaders of the Occupy Central 區域法院裁定「佔中九子」罪成,指出公民抗命不屬抗 movement) were found guilty as the District Court ruled that civil 辯理由。 disobedience was an invalid defence. Attempts to start bills committee proceedings in LegCo for the 5.11 -立法會法案委員會開展審議《逃犯條例》修訂案,發生 5.14 controversial amendments to the extradition law erupted into 衝突。 chaos. 5.17 -Han Zheng, Vice Premier of the State Council, and Wang Zhimin, 國務院副總理韓正及香港中聯辦主任王志民開腔支持 5.21 Director of the central government's Liaison Office, both 《挑犯條例》修訂。 confirmed that the central government was supportive of the extradition law amendments. Record-setting demonstrations against the extradition law 反修例遊行集會連破人數紀錄,政府最終決定暫緩修 6.9, 6.15 & were staged. The government eventually announced the 例。 suspension of the amendment bill. 6.16 6.12, Accusations of police misconduct accentuated after major 連串衝突引起針對警察濫權失德的投訴,包括6.12立法會 7.21, collisions, including the 6.12 LegCo protest, 7.21 Yuen Long 示威、7.21元朗事件、8.31太子站事件、10.1及11.11槍傷 incident, 8.31 Prince Edward station incident, 10.1 and 8.31, 事件、香港中文大學衝突及香港理工大學衝突。 10.1. 11.11 gunshot incidents, confrontation in the Chinese 11.11 -University of Hong Kong and the siege of Hong Kong Polytechnic 11.15 & University. 11.17 -11.29

7.1 & 7.21	Protesters broke into the LegCo Chamber and vandalized the Hong Kong emblem on 1 July and laid siege to the central government's Liaison Office and defaced the Chinese emblem on 21 July.	示威者分別於7月1日及21日闖入立法會及包圍中聯辦大樓,塗污香港特區區徽及中國國徽。
8.5, 8.9 - 8.13, 9.1 & 9.7	Protesters called for a general strike and began a new campaign to paralyze the airport, inflicting citywide and international disruptions in transportation.	示威者發動「三麗」行動及癱瘓機場,令本地及國際交通受阻。
9.4	Carrie Lam officially announced the withdrawal of the amendment bill of the extradition law.	林鄭月娥正式宣布撤回《逃犯條例》修訂案。
10.4 & 11.18	The government enacted the anti-mask law under the Emergency Regulations Ordinance but was ruled unconstitutional by the High Court.	特區政府引用《緊急情況規例條例》訂立《反蒙面法》,及後被高等法院裁定違憲。
11.4 & 11.14	Carrie Lam met with Xi Jinping for the first time since the eruption of the social unrest. Xi said the most pressing task is to end violence and restore order. The message was reiterated in his speech in the BRICS summit in Brazil.	習近平於反修例風波爆發後首次與林鄭月娥會面,指出止暴制亂為最迫切任務,並在巴西舉行的金磚國家峰會上重申。
11.24	Pan-democrats scored a landslide victory in the District Council election with the highest voter turnout of 71.2%.	泛民主派於區議會選舉得到壓倒性勝利,投票率達 71.2%,為歷來最高。
11.27	US President Donald Trump signed the 'Hong Kong Human Rights and Democracy Act'.	美國總統特朗普簽署《香港人權及民主法案》。
12.19	The bank account of Spark Alliance, fundraising platform for protesters, was froze due to accusation of money laundering.	反修例運動的籌款平台星火同盟的銀行戶口,涉嫌洗黑 錢被凍結。

2.13 The State Council restructured the Hong Kong and Macau Affairs Office and appointed Xia Baolong as the new director and the directors of Liaison Offices in Hong Kong and Macau as deputy directors. 國務院重組港澳辦並委任夏寶龍為主任,香港及澳門中聯辦主任同列為副主任。

2.1 - In fighting the COVID-19 crisis, staff of the Hospital Authority
2.7 went on a five-day strike in the attempt to push for complete closure of border with mainland. HKSAR implemented border closure to some borders and ordered all arrivals to observe fortnight-long guarantine order.

新型冠狀病毒擴散,醫管局員工發動五天罷工,要求政府全面封關。政府宣布關閉部分口岸,並要求所有入境人士強制隔離14天。

2.28 & A group of pan-democrat leaders, including Martin Lee, Jimmy
 4.18 Lai, Margaret Ng, Lee Cheuk-yan and Yeung Sum, were charged with participation in unauthorized assembly in relation to the anti-extradition bill movement.

多名民主派人士,包括李柱銘、黎智英,吳靏儀、李卓 人及楊森,被控參與反修例運動的「未經批准集結」。

3.18 US-China media row deepened after five Chinese media agents in the US were declared propaganda outlets and the opinion piece titled "China is the real sick man of Asia" was published by the Wall Street Journal in February. China expelled US journalists in retaliation and barred them from journalism in Hong Kong and Macau. Four more Chinese media entities were designated as foreign missions by the US in June. 自2月初《華爾街日報》發表〈China is the real sick man of Asia〉文章及美國將五家中國新聞機構列為官方宣傳媒體後,中美媒體關係繼續緊張。中國驅逐數名駐華美籍記者,並禁止在港澳境內採訪。6月,美國再列四家中國媒體為外交使團。

4.9 Countering High Court's ruling last year of the unconstitutionality of anti-mask ban, the Court of Appeal ruled that the ban was constitutional at unlawful gatherings. 上訴庭推翻高等法院去年的判決,裁定政府引用《緊急 法》訂立《禁蒙面法》合憲,並適用於非法集會中。

4.13, The Hong Kong and Macau Affairs Office and the Liaison Office in
4.17 & Hong Kong issued statements condemning LegCo member
4.21 Dennis Kwok for preventing the House Committee from electing a chairman for more than six months. The pro-democracy camp challenged that the two Offices were violating Article 22 of the Basic Law by intervening the internal operation of LegCo. In the subsequent statements, they positioned that they were bodies authorised by the central government to handle Hong Kong affairs, leading to further controversy over the applicability of the Article. The filibustering ended in May with the appointment of election host by the LegCo president after seeking external legal advice.

港澳辦及中聯辦發表聲明,譴責郭榮鏗拖延立法會內務 委員會主席選舉六個月。民主派質疑兩辦干預立法會運 作,違反《基本法》第22條。兩辦表示它們是中央授權 專責處理香港事務的機構,引起該法的適用性爭議。尋 求外間法律意見後,立法會主席指派另一名議員主持選 舉,拉布於5月結束。 4.20 Fitch downed the investment grade of HK twice within the past 7 months to AA-, the HKSAR government expressed disappointment at Fitch's exaggeration of the ongoing political issues in its rating.

惠譽在七個月內再度調低香港評級至AA-,港府對其「過度着眼於社會政治議題」表示失望。

4.24 & In response to the controversial district court verdict that 5.25 expressed sympathy with a knifeman who was jailed over triple stabbing, Chief Justice Geoffrey Ma warned that judges should refrain from unnecessary political views to maintain the public's confidence in the impartiality of the judiciary. 區域法官對斬人案被告判囚表示同情後,終審法院首席 法官馬道立告戒法官應避免做不必要的政治表態,以免 影響大眾對司法獨立失去信心。

5.14 The People's Bank of China, the China Banking and Insurance Regulatory Commission, China Securities Regulatory Commission and State Administration of Foreign Exchange promulgated "Opinions on Financial Support to the Development of Guangdong-HK-Macau Greater Bay Area" promoting financial cooperation, openness and innovation in the area.

人民銀行、銀保監會、中證監及外滙局發布《關於金融 支持粵港澳大灣區建設的意見》提出深化內地與港澳金 融合作並發展金融開放創新,支持粵港澳大灣區建設力 度,提升大灣區在國家經濟發展和對外開放中的引領作 用。

5.15 - An HKDSE history question on Sino-Japanese relations in a 5.22 period including Japanese invasion of China in World War II was criticized to have provided 'biased' sources that were overwhelmingly positive of Japan. The question was subsequently withdrawn. Xinhua News Agency stated that Hong Kong should establishment a new education system in accordance to 1C2S. 香港中學文憑試歷史科一條中日關係題目,牽涉二戰日本侵華時期,所提供的資料被批評具引導性,對日本偏向正面。該題最後被考評局取消。新華社文章指出「香港必須建立與一國兩制相適應的新教育體制」。

5.28 The Commerce and Economic Development Bureau announced the establishment of a task force to review the governance and management of RTHK, inviting concerns over political censorship after suspected police insult in an episode of 'Headliner' and infringement of the one-China principle in 'The Pulse'. 商務及經濟發展局宣布成立專責小組,檢討香港電台管治及管理,惹來政治審查的嫌疑。較早前,香港電台節目《The Pulse》被指違反「一中原則」及《頭條新聞》內容被指侮辱警方。

5.28 The US State Secretary, Mike Pompeo, submitted the 2020 Hong Kong Policy Act Report to Congress, certifying that HK no longer warrants separate treatment from mainland China under US laws.

美國國務卿蓬佩奧向國會提交《2020年香港政策法報告》,宣布不再承認香港擁有高度自治,並要求取消對香港的特殊待遇。

6.4 Enactment of the Anthem Law.

通過《國歌法》。

6.30 The Hong Kong National Security Law was introduced, passed and enacted. The Office for Safeguarding National Security of the Central People's Government of the HKSAR will be established. The self-determination group Demosistō announced its dissolution shortly after the enactment.

《港區國安法》宣布、通過及執行。中央駐港維護國家 安全公署成立。提倡自決的香港眾志,於法案生效後馬 上宣布解散。

7.9, Australia, UK and Canada offered express immigration channels
10.22 & to Hong Kong residents. China indicated that BNO might no
11.13 longer be recognized as valid travel document.

澳洲、英國及加拿大提出便利港人移居當地措施,中方表示考慮不承認BNO作為有效旅行證件。

7.11 & More than 600,000 casted ballots in the two-day pro-democracy 7.16 primaries. The Hong Kong and Macau Affairs Office and the Liaison Office condemned the illegality of the primaries, after which the former LegCo councillor, Au Nok Hin and the convenor of Power for Democracy, Andrew Chiu resigned from the coordination work.

為期兩天的民主派初選結束,超過60萬人投票。港澳辦 及中聯辦指控初選違法,前立法會議員區諾軒和民主動 力召集人趙家賢先後退出協調工作。

7.15, The US president, Donald Trump, signed the Hong Kong
8.7 & Autonomy Act, with which eleven mainland and Hong Kong
8.11 officials were financially sanctioned. Products labelled as 'Made in Hong Kong' are also forbidden to be imported into the US.

美國總統特朗普簽署《香港自治法案》,以金融方式制 裁11位中港官員,並禁止進口美國產品貼上「香港製 造」標籤。

7.31 & HKSAR government announced the postponement of LegCo8.11 election for a year, with the NPCSC deciding to extend the term of office of all LegCo members for not less than one year.

特區政府押後立法會選舉一年,全國人大常委會決定全 體議員延任至少一年。

8.10 Nine people, including the founder of Apple Daily, Jimmy Lai, were arrested and charged of conspiring with foreign forces to endanger national security and conspiring to defraud. A police raid consisting of 200 officers was performed on the Apple Daily headquarter. 壹傳媒創辦人黎智英等9人被拘捕,涉勾結外國或者境外 勢力危害國家安全及串謀欺詐等罪。警方高調派遣200人 搜查壹傳媒大樓。

8.17 After reviewed by the Education Bureau, content touching upon 'the separation of powers' in liberal studies textbooks was omitted and clarified that the HKSAR has an 'executive-led government'. 高中通識課本經教育局閱覽後,部分刪走關於三權分立 的內容,指香港偏向行政主導。

8.23 & 12 anti-extradition protesters were arrested in China's territorial
12.30 waters on suspicion of illegal border crossing to Taiwan. They were in detention until December, ten of them were sentenced for imprisonment from seven months to three years by the mainland court. Two cases involving underage suspects were extradited to Hong Kong.

12名反修例示威人士,涉嫌非法越境往台灣,於中國海域內被海警逮捕,拘留至12月。其中10人於內地法庭判處七個月至三年囚禁,另外兩名未成年人士移交予香港警方。

9.18 & James Spigelman, non-permanent judge of the Court of Final 9.24 Appeal resigned, foreign newsagent rumoured that his resignation was related to the National Security Law. Another non-permanent judge, Peter Millett, also announced that he would no longer hear cases in Hong Kong due to old age and long travels. 終審法院海外非常任法官施覺民辭任,外媒指事件與 《港區國安法》有關。另一名非常任法官苗禮治亦指年 邁不宜長途旅程,不再來港審案。 9.22 The Police amended the definition of 'media representatives' and would no longer acknowledge membership issued by the Hong Kong Journalists Association and Hong Kong Press Photographers Association. Only media outlets registered under the government news system and internationally reputable non-local news agents would be recognized.

警方修訂《警察通例》下「傳媒代表」的定義,不再承認記協和攝記協會員證,只有向政府登記及國際認可及知名的非本地新聞機構才獲得承認。

10.5 Education Bureau cancelled the registration of a teacher for the first time with the reason of 'promoting pro-independence message'. 教育局首次以「教材散播港獨信息」名義取消一名教師 的計冊。

11.11 NPCSC declared that LegCo councillor who does not fulfil the legal requirements of upholding the Basic Law will be immediately stripped of their qualifications as LegCo Councillor. HKSAR subsequently disqualified Kenneth Leung, Alvin Yeung, Dennis Kwok and Kwok Ka Ki. 15 other pro-democratic camp LegCo councillors resigned en masse in protest, leaving only Cheng Chung-tai and Pierre Chan remained.

全國人大常委會決定,凡依法認定不擁護《基本法》、 不效忠香港特別行政區者,即時喪失議員資格。特區政 府隨即取消梁繼昌、楊岳橋、郭榮鏗及郭家麒四人議 席。15名民主派議員集體辭職以示抗議,只有鄭松泰和 陳沛然留任。

11.21 Online radio host was arrested on suspicion of money laundering and providing financial support for anti-extradition youth protesters in exile to Taiwan and secession.

網台主持等人發起眾籌,支援出走台灣的反修例青年,涉嫌洗黑錢及資助分裂國家而被捕。

11.26 Civil Service Bureau demanded that all civil servants will have to sign declaration of loyalty to government.

公務員事務局決定,全體現職公務員簽署效忠聲 明。

12.3 & Former LegCo Councillor, Ted Hui announced his resignation
 12.11 from the Democratic Party and went into exile in Denmark with his family. Sixtus Leung of Youngspiration also indicated his wish to seek political asylum in the US.

前立法會議員許智峯宣布退出民主黨,與家人流亡 丹麥。青年新政梁頌恆也表示身處美國,計劃尋求政治 庇護。

12.8 Former LegCo councillor, Wu Chi Wai, Eddie Chu, Leung Kwok Hung and the convenor of Civil Human Rights Front, Figo Chan and other District Councillors were arrested for their suspected participation in an illegal assembly on 1 July. 前立法會議員胡志偉、朱凱廸、梁國雄、民陣召集人 陳皓桓等多名區議員因涉及七一非法集結等多項罪行而 被捕。

12.21 The Court of Final Appeal ruled that the Emergency Regulations Ordinance and the Anti-mask Law are both constitutional. Wearing of facial coverings is prohibited at protests and rallies, whether authorized or not. 終審法院裁定《緊急法》與《禁蒙面法》均合憲,合法 與非法遊行集會皆不可以蒙面。

12.31 After Home Affairs Bureau had declared its illegality, Hong Kong Citizens' Deliberative Platform announced that due to failure to reach consensus, the platform will terminate their fundraising work and will immediately dissolve. 民政事務局形容「香港公民議政平台」屬非法後,平台 宣布因無法達到共識,終止籌組工作,即時解散。

### 'ONE COUNTRY TWO SYSTEMS'

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